

DERBYSHIRE COUNTY COUNCIL

PROCEDURE FOR CONSIDERING COMPLAINTS THAT MEMBERS HAVE BREACHED THE CODE OF CONDUCT

1. The Monitoring Officer acknowledges receipt of the complaint within 5 working days of receipt.
2. The Monitoring Officer informs the subject matter of
 - a) The complaint, giving a summary of it and the name of the complainant
 - b) His right to consult one of the Independent Persons appointed by the Council, through the Monitoring Officer
3. The Monitoring Officer, in consultation with an Independent Person (other than one consulted by the subject member under paragraph 2 (b)), decides, within 20 working days of receipt of the complaint, that;
 - a) The complaint does not come within the remit of the Code of Conduct
 - b) The complaint is not sufficiently serious to warrant an investigation
 - c) That it is not in the public interest to investigate the complaint
 - d) He should seek to resolve the complaint without the need for an investigation (e.g. by way of an apology or training by the subject member)
 - e) The complaint should not be investigated because it is vexatious, malicious or obsessive
 - f) The complaint should not be investigated because it is broadly similar to a complaint against the same member about the same alleged incident

- g) The complaint should not be investigated because there is a clear ulterior/political motive for it or it is just a tit for tat complaint
 - h) An investigation should take place
- 4. Before coming to his decision under paragraph 3 the Monitoring Officer may request further information and/or clarification from the complainant and/or the subject member and the time period shall be extended accordingly.
- 5. If the Monitoring Officer decides that the complaint should be investigated, or his attempts to resolve the complaint without an investigation do not succeed, then he will carry out an investigation or appoint an investigator to carry out an investigation on his behalf.
- 6. The investigator appointed under paragraph 5 by the Monitoring Officer may be;
 - a) A senior officer of the Council
 - b) A senior officer of another council
 - c) An external investigator with relevant experience
- 7. A report into an investigation shall include the Investigator's findings on whether the Code has been breached.
- 8. Before finalising his report the Investigator shall send a copy of it to both the complainant and the subject member and give them at least 5 working days to comment on it.
- 9. If the Investigator's final report finds there has not been a breach of the Code the Monitoring Officer can, in consultation with an Independent Person, decide to;
 - a) Take no action

- b) Refer the report to the Standards Committee for Information
10. If the Investigator's report finds there has been a breach of the Code then the Monitoring Officer must refer the matter to the Standards Committee.
 11. When the matter has been referred to the Standards Committee by the Monitoring Officer, the Standards Committee (or a Hearing Sub Committee if this is considered by the Committee to be appropriate) will:
 - a) Allow the Investigator to present his report and call witnesses, including the complainant
 - b) Allow the subject member to make representations and call witnesses
 - c) Decide if the subject member has breached the Code of Conduct
 - d) Decide what sanction should be imposed if it is decided that the Code has been breached.
 12. The sanctions the Standards Committee or Hearing Sub Committee can impose if a breach of the Code is found are;
 - a) Censuring the member
 - b) Reporting its findings to the Council for information
 - c) Recommending to the member's Group Leader (or in the case of ungrouped members, recommending to the Council or to Committees) that he/she be removed from any or all Committees or Sub-Committees of the Council;
 - d) Recommending to Council that the member be replaced as Executive Leader of the Authority;
 - e) Recommending to the Leader of the Council that the member be removed from the Cabinet, or removed from particular portfolio responsibilities;

- f) Recommending that the Monitoring Officer arrange training for the member;
 - g) Recommending to Council that the member be removed from all outside appointments to which he/she has been appointed or nominated by the authority
13. In reaching a decision as to whether there has been a breach of the Code and if so what sanction should be imposed the Standards Committee or Hearing Sub Committee will consult and take into account the views of the Independent Persons who attend such hearings and the Independent Persons' views will be recorded in writing.
 14. Following any final decision by the Monitoring Officer or the Standards Committee or Hearing Sub Committee, at whatever stage, the Monitoring Officer shall inform the complainant and the subject member of the decision and the reasons for it within 10 working days.
 15. Wherever there has been a decision that the subject member has breached the Code of Conduct that decision and the reasons for it shall be put on the Council's website in a prominent position.
 16. Wherever there is a decision that the subject member has not breached the Code of Conduct that decision shall be put on the Council's website, in a prominent position if the subject member wishes it to be.
 17. Any decision of the Monitoring Officer or the Standards Committee or Hearing Sub Committee shall be final and binding.

18. The Monitoring Officer will every 6 months present a report to the Standards Committee setting out:
 - a) The number of complaints received and brief details
 - b) How they are progressing
 - c) What decisions have been made
 - d) What action has, where appropriate, been taken

19. The Monitoring Officer has delegated power, in consultation with an Independent Person and the Chairman of the Standards Committee, to approve a departure from these arrangements when he considers it is expedient to do so to secure the effective and fair consideration of any matter.

20. In all cases where the Monitoring Officer is unable to perform his role his deputy will do so.