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PUBLIC

To: Members of Derbyshire Police and Crime Panel

Wednesday, 1 September 2021

Dear Councillor,

Please attend a meeting of the **Derbyshire Police and Crime Panel** to be held at **10.00 am** on **Thursday, 9 September 2021** in The Council Chamber, County Hall, Matlock DE4 3AG, the agenda for which is set out below.

Yours faithfully,

A handwritten signature in black ink that reads 'Helen E. Barrington'.

Helen Barrington
Director of Legal and Democratic Services

AGENDA

PART I - NON-EXEMPT ITEMS

1. Appointment of Chair
2. Appointment of Vice Chair
3. Apologies for Absence
To receive apologies for absence (if any)
4. Declarations of Interest
To receive declarations of interest (if any)

5. Minutes (Pages 1 - 2)

To confirm the non-exempt minutes of the meeting of the Derbyshire Police and Crime Panel held on 18 March 2021

6. Balanced Appointment Objective - Report of the Director of Legal and Democratic Services of Derbyshire County Council. (Pages 3 - 12)

7. Derbyshire Police and Crime Panel - Annual Report - Report of the Director of Legal and Democratic Services of Derbyshire County Council (Pages 13 - 68)

8. Complaints Report - Report of the Director of Legal & Democratic Services of Derbyshire County Council (Pages 69 - 72)

9. Derbyshire Police & Crime Panel Achievements June 2020- September 2021 (Pages 73 - 74)

10. Police and Crime Commissioner Update - Verbal Report

11. Succession Planning (Pages 75 - 78)

12. Forthcoming Events/Updates (If any)

13. Date of Next Meeting - 18 November 2021 at 10am

PUBLIC

Agenda Item 5

MINUTES of a meeting of the **DERBYSHIRE POLICE AND CRIME PANEL** held on 18 March 2021 via Microsoft Teams.

PRESENT

V Newbury (in the Chair)

Councillors F Atkinson (Amber Valley Borough Council), M Bagshaw (Chesterfield Borough Council) A Barrow (High Peak Borough Council), V Clare (Erewash Borough Council), J Frudd (Erewash Borough Council), C Hart (Derbyshire County Council) P Innes (Chesterfield Borough Council), R Mee (Erewash Borough Council) P Pegg (Derby City Council), G Potter (Derby City Council), G Purdy (Derbyshire Dales District Council) M Potts (NE Derbyshire District Council), G Rhind (South Derbyshire District Council), and B Woods (Derbyshire County Council) and Dr S Handsley (Independent Member).

Derbyshire County Council officers also in attendance: L Collard (Improvement & Scrutiny,) P Stone, (Finance & ICT) I Walters (Democratic Services) and L Wild (Legal Services).

Also in attendance: H Dhindsa, Police and Crime Commissioner and A Dale, Chief Finance Officer (OPCC).

Apologies for absence were submitted on behalf of and C Moesby (Bolsover District Council), P Niblock (Chesterfield Borough Council) and J Smale (Derby City Council) and D Peet, Chief Executive (OPCC)

06/21 **MINUTES RESOLVED** that the minutes of the meeting of the Derbyshire Police and Crime Panel held on 4 February 2021 be confirmed as a correct record.

07/21 **COVID – 19 – UPDATE** The Police and Crime Commissioner (PCC) provided the Panel with an update on the Covid -19.

In advance of the meeting the Panel had requested: The implications for the force coming out of Covid; confirmation from the PCC of how his commitments and strategic priorities outlined in the Police and Crime Plan will be considered in further supporting the people of Derbyshire; would there be additional presence at a local community level to support the PCCs key priority areas : vulnerable people, young people, the impact of drugs and alcohol use, those with mental health issues; and The Panel saw the removal of restrictions as a significant risk and sought reassurance that strategies were

in place to ensure a smooth transition and minimise threat harm and risk for the people of Derbyshire.

The Police & Crime Panel (PCP) had been previously provided with updates on the response to the Covid-19 Pandemic which had outlined the process by which this situation is being managed.

The Panel were reminded that the Force had adopted a 'Gold, Silver, Bronze' command structure to deal with the response. The PCC's office was represented at both the Gold and Silver levels (the bronze level would be too tactical for the OPCC (Office of the Police & Crime Commissioner) to add value).

The issue of the response to the Covid Pandemic was a standing agenda item for the PCC/Chief Constable 1-2-1s that took place every week. The PCC also received ad hoc briefings as the regulations/approach changes, including the responsibilities of law enforcement.

Members had received an update report in advance of the meeting and the PCC covered in detail the areas requested by the Panel above.

Members were given the opportunity to make comments or ask questions which were duly noted or answered.

RESOLVED to note the update.

04/21 **FORTHCOMING EVENTS** The Regional Officer Network meeting would take place shortly and would be attended by L Collard, Improvement & Scrutiny Officer

05/21 **ANNOUNCEMENTS** It was noted that the election for the Police and Crime Commissioner would take place on Thursday 6 May.

The Chair wished to place on record her thanks and appreciation for the help, support and contributions of Panel Members over the last year throughout the pandemic and also to the PCC and his team for the collaborative working that had taken place with the Panel. This view was reciprocated by the PCC.

A number of Panel Members placed on record their thanks and appreciation to the Chair and Vice Chair for all their hard work in moving the panel forward in a positive direction

06/21 **DATE OF NEXT MEETING** **RESOLVED** to note that the next meeting would be on Thursday 21 June 2021 at 10.00am.



FOR PUBLICATION

DERBYSHIRE COUNTY COUNCIL

DERBYSHIRE POLICE AND CRIME PANEL

9 SEPTEMBER 2021

Report of the Director of Legal and Democratic Services

BALANCED APPOINTMENT OBJECTIVE

1. Purpose

To enable the Panel to review its composition following the commencement of the new municipal year and to co-opt additional Councillor Members to ensure the Panel meets the statutory balance requirements.

2. Information and Analysis

2.1 Schedule 6 of the Police Reform and Social Responsibility Act 2011 outlines the responsibilities councils have in establishing and maintaining a police and crime panel.

2.2 The Act makes detailed provisions for panel composition under Parts 2 and 4 of Schedule 6. In brief, these include:

- Where a force area consists of 10 (as in Derbyshire) or fewer councils, the number of members of the panel will be 10, not including the co-opted members.
- Additional councillors may be co-opted onto the panel. The total size of the panel must not exceed 20 and the Secretary of State approves the co-options.
- Two independent co-optees should also be included in the panel membership.
- Composition should be carried out in accordance with the “fair representation objective” – essentially, each council in the force area must be represented by 1 member if the number of councils is 10 or more.

- Panels should be politically proportionate. This means that they should be proportionate according to the total number of councillors in the force area.

2.3 The Panel's 2 co-opted Independent Members are not covered by this report. They have been co-opted for an additional 1 year term following the variation of the Panel Arrangements and the term of office will end in January 2022.

2.4 Paragraph 31 of Schedule 6 puts the duty to produce a "balanced panel" on councils and panels. Relevant local authorities and panels must secure that (as far as is reasonably practicable) the balanced appointment objective is met. A "balanced panel" is one where the councillors on the panel (when taken together with any co-optees as necessary):

- Represent all parts of the relevant police area (geographical balance)
- Represent the political make-up on the relevant authorities (when taken together (political balance), and
- Have the skills, knowledge and experience necessary for the police and crime panel, to discharge its functions effectively.

2.5 Each of the 10 constituent authorities in Derbyshire has nominated a panel member for the new municipal year from its majority group and this has resulted in a Panel of 6 Conservative members and 4 Labour members. As outlined at appendix 2, this does not achieve political balance and so does not meet the balanced appointment objective.

2.6 The political balance of the Panel can be adjusted by co-opting additional Councillor Members to better meet the balanced appointment objective and paragraph 4 (4) of Schedule 6 enables the Panel to pass a resolution to have additional co-opted members. In considering political balance, appendix 2 to this report details the current political makeup of the 10 constituent local authorities and the relevant percentages. Appendix 3 to this report details the number of co-optees required to achieve political balance. As the maximum number of members permitted on the panel is 20, including 2 independent co-optees, the Derbyshire Police and Crime Panel can only co-opt a maximum of 8 Councillor Members. Based on the percentages outlined in appendix 3 to achieve, or support, the balanced appointment objective, the Panel is asked to consider one of the following options:

- a. Co-option of 3 Councillor members:
1 Labour, 1 Liberal Democrat and 1 Independent
- b. Co-option of 7 Councillor members:
1 Conservative, 2 Labour, 2 Liberal Democrat and 1 Independent.

2.7 Once the additional number of co-optees from each political group has been determined, the Panel must then consider which authorities to approach to seek further nominations for co-optees and in doing so, should consider the geographical balance and the skills and knowledge of potential co-optees in ensuring the balanced appointment objective can be met.

2.8 Derbyshire's panel is made up from members of unitary, county, district and borough councils. Therefore, in the two-tier authorities across Derbyshire, both the county and its districts will each have a member of the panel, whilst Derby City, as a unitary authority, will only have 1, despite its density of population. Therefore, Panel members may consider it appropriate to seek additional co-optees from Derby City.

2.9 Derbyshire is also a large county covering a wide geographical area with a diverse demographic, and there are also other heavily populated areas within the County, which the Panel may wish to take into account in determining the areas from which additional members should be sought. In addition, the Panel may wish to consider any identified areas with a higher crime rate in order to identify appropriate authorities to approach for further nominations.

2.10 In the event of the Panel determining that it would be appropriate to co-opt additional councillor members to the Panel, then that resolution must be approved by the Secretary of State as required by paragraph 4(4) of Schedule 6 of the 2011 Act. Therefore, until the Secretary of State has approved the number and identity of the co-optees, the co-optees do not become members of the Panel.

2.11 If appointed, a Councillor co-optee will hold office for the following municipal year, in accordance with the Derbyshire Police and Crime Panel – Panel Arrangements.

3. Alternative Options Considered

3.1 To appoint a number of co-optees other than 3 or 7. This will not create a balanced panel and will fail to meet the statutory requirements outlined in the Police and Social Responsibility Act 2011. Appointing more than 8 co-optees would also take the number of Panel members beyond the statutory maximum of 20.

3.2 To not appoint any Councillor co-optees. This will not create a balanced panel and will fail to meet the statutory requirements outlined in the Police and Social Responsibility Act 2011.

3.3 To appoint 3 or 7 Councillors as outlined above but to not consider the wider aspects of the balanced appointment objective. The Panel will not meet the

statutory requirements to achieve the balanced appointment objective as outlined in the Police and Social Responsibility Act 2011.

4. Implications

4.1 Appendix 1 sets out the relevant implications considered in the preparation of this report.

5. Consultation

5.1 Not applicable.

6. Background Papers

6.1 None identified.

7. Appendices

7.1 Appendix 1 – Implications.

7.2 Appendix 2 – Police and Crime Panel Balanced Appointment Objective

7.3 Appendix 3 – Table outlining number of co-optees required to achieve the balanced appointment objective

8. Recommendations

That the Panel:

- a. Determines the number of additional councillor members to be appointed subject to the agreement of the Secretary of State, to enable the Panel to better fulfil its duty to meet the balanced appointment objective
- b. Determines which authority or authorities should be approved to provide the additional members, subject to the agreement of the Secretary of State and authorises the Director of Legal and Democratic Services and Monitoring Officer for Derbyshire County Council as the host authority to approach the identified authority or authorities for nominations to be put to the Secretary of State
- c. Agrees that the Director of Legal and Democratic Services and Monitoring Officer makes the necessary application to the Secretary of State to appoint the nominated co-optees upon receipt of the nominations from the identified authority or authorities.
- d. Agrees that should all members of the panel not be in attendance and therefore, a unanimous decision cannot be reached, the matter will be deferred and included on the agenda for the subsequent meeting, scheduled to take place on 18 November 2021 at County Hall, Matlock.

9. Reasons for Recommendation(s)

9.1 To ensure that the Derbyshire Police and Crime Panel meets the balanced appointment objective as part of the legislative requirements in respect of constituting a Police and Crime Panel for Derbyshire.

Report Author: Elizabeth Wild

Contact details: Elizabeth.wild@derbyshire.gov.uk

Appendix 1

Implications

Financial

1.1 None directly arising out of this report.

Legal

2.1 Under Schedule 6 to the Police Reform and Social Responsibility Act 2011 a Police and Crime Panel may resolve that the Panel is to have a number of co-opted members. However, no such resolution may be passed unless:

- a. The number of co-opted members is greater than two;
- b. The Secretary of State agrees that the Panel should have that number of co-opted members; and
- c. The total membership of the Panel, including that number of co-opted members, would not exceed 20

2.2 Paragraph 31 of Schedule 6 puts the duty to produce a “balanced panel” on councils and panels. A “balanced panel” is one where the councillors on the panel (when taken together with any co-optees as necessary):

- Represent all parts of the relevant police area (geographical balance)
- Represent the political make-up on the relevant authorities (when taken together (political balance), and
- Have the skills, knowledge and experience necessary for the police and crime panel, to discharge its functions effectively.

2.2 Supporting regulations, namely the Police and Crime Panels (Nominations, Appointments and Notifications) Regulations 2012 confirm that where a Panel decides it wishes to co-opt additional members Home Office approval must be sought and the reasons for determining that the requested number of co-optees will contribute to meeting, or better meeting the balanced appointment objective must be provided.

2.3 Therefore, co-optees do not become members of the Panel until the Secretary of State has approved the proposed resolution to co-opt in principle, the number of co-optees and the nominated co-optees themselves. Should the Panel agree a resolution to co-opt, the Secretary of State will be notified immediately following the Annual General Meeting to endeavour to ensure that the co-optees would be able to be invited as members to the next scheduled meeting of the Panel. Until approval has been received the co-optees cannot participate in Panel business.

2.4 Under Regulation 7 of the Police and Crime Panels (Nomination, Appointments and Notification) Regulations 2012, a Panel shall not co-opt a person who is a member of a relevant Local Authority unless all of the members of the Panel agree to that co-option. Therefore, all 10 constituting authority members (or their substitutes) and the 2 independent co-optees must agree to the proposal to co-opt, not just the members present at the meeting.

2.5 Should all members of the panel be in attendance or represented by a substitute but a unanimous decision cannot be reached, the matter must be referred to the Secretary of State pursuant to Schedule 6 paragraph 18 of the Police Reform and Social Responsibility Act 2011.

2.6 A police and crime panel must, in co-opting person who are members of relevant local authorities, secure that as far as is reasonably practicable, the balanced appointment objective is met.

Human Resources

3.1 None directly arising out of this report.

Information Technology

4.1 None directly arising out of this report.

Equalities Impact

5.1 None directly arising out of this report.

Corporate objectives and priorities for change

6.1 None directly arising out of this report.

Other (for example, Health and Safety, Environmental Sustainability, Property and Asset Management, Risk Management and Safeguarding)

7.1 None directly arising out of this report.

Appendix 2

Police and Crime Panel Balanced Appointment Objective

Political make-up of the 10 constituent local authorities (taken together)

| Council | Cons. | Lab | Lib Dems | Ind | Derby Reform & Non Group ed | Green | Total |
|---|---------------|---------------|--------------|--------------|-----------------------------|--------------|------------|
| Amber Valley | 28 | 16 | 0 | 0 | 0 | 1 | 45 |
| Bolsover | 3 | 19 | 0 | 15 | 0 | | 37 |
| Chesterfield | 0 | 28 | 17 | 3 | 0 | | 48 |
| Derby City | 21 | 13 | 8 | 3 | 6 | | 51 |
| Derbyshire County | 45 | 14 | 4 | 0 | 0 | 1 | 64 |
| Derbyshire Dales (20 Conservative/2 Independents formed Group) | 20 | 4 | 7 | 2 | 1 Non group ed | 5 | 39 |
| Erewash | 29 | 16 | 1 | 1 | 0 | | 47 |
| NE Derbyshire | 31 | 17 | 3 | 2 | 0 | | 53 |
| High Peak | 16 | 22 | 3 | 0 | 0 | 2 | 43 |
| South Derbyshire | 15 | 15 | 0 | 5 | 1 Non group ed | | 36 |
| TOTAL | 208 | 164 | 43 | 31 | 8 | 9 | 463 |
| TOTAL % of seats | 44.92% | 35.42% | 9.28% | 6.69% | 1.72% | 1.94% | |

Appendix 3

The table below shows the number of co-optees required to achieve the balanced appointment objective. Please note that the maximum number of political co-optees allowed is 8 (given there are 10 constituent members and 2 independent members, out of a maximum Panel size of 20):

| Number of political co-optees. | Conservative | Labour | Lib Dem | Indpdnt | Total number of Councillors on Panel |
|---------------------------------------|---------------|---------------|--------------|--------------|--------------------------------------|
| % of Total seats in Derbyshire | 44.92% | 35.42% | 9.28% | 6.69% | |
| | | | | | |
| 0 | 6 | 4 | 0 | | 10 |
| % | 60% | 40% | 0% | | |
| | | | | | |
| 1 | 6 | 5 | 0 | | 11 |
| % | 55% | 45% | 0% | | |
| | | | | | |
| 2 | 6 | 4 | 1 | 1 | 12 |
| % | 50% | 33 % | 8% | 8% | |
| | | | | | |
| 3 | 6 | 5 | 1 | 1 | 13 |
| % | 46% | 38% | 8% | 8% | |
| | | | | | |
| 4 | 7 | 6 | 1 | | 14 |
| % | 50% | 43% | 7% | | |
| | | | | | |
| 5 | 7 | 6 | 1 | 1 | 15 |
| % | 47% | 40% | 7% | 7% | |
| | | | | | |
| 6 | 7 | 6 | 2 | 1 | 16 |
| % | 44% | 37% | 12% | 6% | |
| | | | | | |
| 7 | 8 | 6 | 2 | 1 | 17 |
| % | 47% | 35% | 12% | 6% | |
| | | | | | |
| 8 | 7 | 8 | 2 | 1 | 18 |
| % | 39% | 44% | 11% | 6% | |
| | | | | | |

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FOR PUBLICATION

DERBYSHIRE COUNTY COUNCIL

DERBYSHIRE POLICE AND CRIME PANEL

9 September 2021

Report of the Director of Legal and Democratic Services

1. Purpose

To consider the proposed Annual Report of work undertaken by the Panel which is attached to this report at Appendix 2 and to request that the Panel considers the process for the future publication of the Annual Report.

2. Information and Analysis

2.1 As the Panel is aware, Derbyshire County Council was provided with a grant for 1 April 2020 to 31 March 2021 of up to £67,100 as the host authority in respect of the Derbyshire Police and Crime Panel. Payment of the Grant was subject to the standard terms and conditions of the Grant Agreement which, together with the letter confirming the award is attached at Appendix 3.

The Grant Agreement stipulates at paragraph 3.1 of Schedule 3 that the Key Performance Indicators to be monitored and reported on are:

- a) The number of public meetings held;
- b) Scrutiny documents and publications produced, including the Panel's annual report;
- c) Engagement with the work of the Panel by members of the public and the Police and Crime Commissioner for Derbyshire Constabulary.

To demonstrate that the Panel has met the Key Performance Indicators for the year 2020 to 2021, a report of the work undertaken by the Panel for the municipal year of May 2020 to May 2021 is attached at Appendix 2.

The Panel will note that these again include the provision of an Annual Report. The production of such a report may also be considered as being best practice and contribute towards engagement with the public.

The Report regarding the annual report considered on 19 November 2020 referred to the Panel potentially convening a working group to consider the format, framework and content for a future annual report for the year 2020 to 2021. Due to the delay in holding the Annual General Meeting a working group has not been convened for this purpose however, the Panel may wish to convene a working group to consider format, framework and content for the annual report 2021 to 2022.

3. Alternative Options Considered

3.1 Not applicable.

4. Implications

4.1 Appendix 1 sets out the relevant implications considered in the preparation of this report.

5. Consultation

5.1 Not applicable.

6. Background Papers

6.1 None identified.

7. Appendices

7.1 Appendix 1 – Implications

7.2 Appendix 2 – Annual Report for the Municipal Year 2020 to 2021

7.3 Appendix 3 – Grant Agreement between the Secretary of State for the Home Department and Derbyshire County Council for the Provision of Police and Crime Panels

8. Recommendations

That the Panel:

1. Agrees the attached Annual Report at Appendix 2 to demonstrate that the Panel has met the Key Performance Indicators as specified in the Grant Agreement for the period 1 April 2020 to 31 March 2021.

2. Agrees to produce the Annual Report for the year 2021 to 2022 to provide a response to the Key Performance Indicators as specified in the Grant Agreement
3. Considers establishing a working group to consider format, framework and content for the annual report 2021 to 2022

9. Reasons for Recommendation(s)

9.1 To ensure that the Derbyshire Police and Crime Panel complies with the requirements of the Grant Agreement and ensure that performance of the Panel can be monitored.

Report Author: Lucie Collard **Contact details:**
Police.andcrimepanel@derbyshire.gov.uk

Appendix 1

Implications

Financial

- 1.1 The Grant Agreement requires the Recipient to put in place procedures to monitor and track spending, including committed spend, against agreed budgets and notify the Authority of any variances.

Legal

- 2.1 The functions and responsibilities of police and crime panels are set out in the Police Reform and Social responsibility Act 2011 and are to scrutinise the actions and decisions of police and crime commissioners, and provide support and challenge to the Commissioners in exercise of their functions. Panels focus their attention on key strategic actions and decisions taken by the Commissioner, including whether they have achieved the objectives set out in their Police and Crime Plan, considered the relevant priorities of their community safety partners and consulted appropriately with the public.
- 2.2 The grant is paid in exercise of the power conferred upon the Secretary of State for the Home Department under section 31 of the Local Government Act 2003 to provide financial support to a local authority in England and Wales.
- 2.3 In order to receive the grant, the host authority must agree to the terms of the Grant Agreement and provide the information stipulated within the Agreement to enable the Home Office to monitor performance.

Human Resources

- 3.1 None directly arising out of this report.

Information Technology

- 4.1 None directly arising out of this report.

Equalities Impact

- 5.1 None directly arising out of this report.

Corporate objectives and priorities for change

6.1 None directly arising out of this report.

Other (for example, Health and Safety, Environmental Sustainability, Property and Asset Management, Risk Management and Safeguarding)

7.1 None directly arising out of this report.

Appendix 2

DERBYSHIRE POLICE AND CRIME PANEL ANNUAL REPORT FOR THE MUNICIPAL YEAR 2020 to 2021

Background

The Police and Crime Commissioner for Derbyshire (“the PCC”) has responsibility for securing an efficient and effective police force for the area. This includes setting objectives, considering budget allocation and holding the Chief Constable to account.

The function of the Police and Crime Panel (“the Panel”) is to scrutinise the actions and decisions of the PCC and provide support and challenge to the PCC in the exercise of their functions.

The Covid-19 pandemic has brought unprecedented challenges to policing and in turn the role of the PCC and the Panel. The Panel has adapted to these changes quickly and effectively to ensure its responsibilities are met through this period of uncertainty.

Membership

The membership of the Derbyshire Police and Crime Panel is made up of elected members from each of the Councils in the Police Force area of Derbyshire, including Derby City. Each authority nominates a member to sit on the Panel. Panels are also able to co-opt additional councillor members to ensure that the political balance of the whole area is reflected and must appoint at least 2 independent members. The Panel must ensure that the membership has appropriate skills, knowledge and experience in order to properly exercise the functions of the Panel.

The Panel elects the Chair and Vice-Chair at the Annual General Meeting. The membership of the Panel for 2020-21 was:

Ms Vicky Newbury - Chair (Independent Co-opted Member)
Cllr Ged Potter - Vice-Chair, Derby City Council (Conservative)
Cllr Carol Hart - Derbyshire County Council (Conservative)
Cllr Fay Atkinson – Amber Valley Borough Council (Labour)
Cllr Clive Moesby – Bolsover District Council (Labour)
Cllr Dean Collins – Chesterfield Borough Council (Labour)
Cllr Richard Bright – Derbyshire Dales District Council (Conservative)
Cllr Val Clare – Erewash Borough Council (Conservative)
Cllr Alan Barrow – High Peak Borough Council (Labour)
Cllr Maureen Potts – North East Derbyshire District Council
(Conservative)
Cllr Julie Patten – South Derbyshire District Council (Conservative)
Dr Stephen Handsley – Independent Member

Local Authority Co-optees

Cllr Paul Pegg – Derby City Council (Labour)
Cllr John Frudd – Erewash Borough Council (Labour)
Cllr Becki Woods – Derbyshire County Council (Labour)
Cllr Jonathan Smale – Derby City Council (Conservative)
Cllr Robert Mee – Erewash Borough Council (Liberal Democrat)
Cllr Paul Niblock – Chesterfield Borough Council (Liberal Democrat)
Cllr Mick Bagshaw - Chesterfield Borough Council (Independent)

Following notification from the constituent Authorities there was a change in membership with Councillors Dean Collins, Richard Bright and Julie Patten being replaced by:

Cllr Peter Innes – Chesterfield Borough Council (Labour)

Cllr Garry Purdy – Derbyshire Dales District Council (Conservative)

Cllr Gordon Rhind – South Derbyshire District Council (Labour)

The host authority for the panel is Derbyshire County Council. Meetings are held in public. Under normal circumstances meetings are held at County Hall, Matlock however, this was not possible during the Covid-19 pandemic.

Schedule of Meetings

The Panel met formally 5 times during the 2020-2021 municipal year in June, August and November 2020, and February and March 2021.

The meeting scheduled for May 2020 was cancelled as a result of the Covid-19 pandemic. The Local Authorities and Police and Crime Panels (Coronavirus) (Flexibility of Local Authority and Police and Crime Panel Meetings)(England and Wales) Regulations 2020 (“the Regulations”) were made under section 78 of the Coronavirus Act 2020. The Regulations came into force on 4 April 2020 and permitted remote access for participants in local authority meetings before 7 May 2021 and allowed local authorities to move or cancel meetings including annual meetings.

Due to the unprecedented national lockdown the meeting scheduled for 21 May 2020 was cancelled however, the Panel adapted positively to the challenges faced. The remaining meetings were held remotely with Panel members and attendees accessing the meetings via Microsoft Teams. From November 2020 the meetings were also live streamed on YouTube to ensure members of the public were able to attend.

The local and Police and Crime Commissioner elections scheduled for May 2020 were postponed for 12 months. The Commissioner, therefore, continued in his role for an extended period until May 2021.

Work Undertaken

The required functions of the Panel are:

- To consider the Commissioner's proposed police precept
- To monitor delivery of the Commissioner's Police and Crime Plan
- To review and scrutinise action and decisions taken by the Commissioner
- To handle PCC conduct complaints

At the meetings during the 2020-21 municipal year these functions were carried out as follows:

Monitor, Review and Scrutinise

1. June Meeting

The Challenge Topic chosen by the Panel was Safer Neighbourhood Teams and how they work in Derbyshire and in particular, the role and functions of neighbourhood policing. The Commissioner also provided an update on the work of the PCC and Derbyshire Constabulary during the Covid-19 pandemic.

2. September Meeting

No Challenge Topics were presented however, Members were able to pose questions to the Commissioner and to receive a written report in response. A separate update on the work of the Commissioner and Constabulary during the Covid-19 pandemic was not provided at this meeting however, the impact of Covid-19 was addressed in Members questions.

3. November Meeting

No Challenge Topics were presented however, Members were able to pose questions to the Commissioner and to receive a written report in

response. Members requested an update on the latest crime statistics and whether there were any trends during the Covid-19 restrictions. The Commissioner was also asked to provide an update on the Emergency Services Network and the Commissioner's response to the Home Office Review. The Commissioner also provided an update on the work of the PCC and Derbyshire Constabulary during the Covid-19 pandemic.

Due to the difficulties posed by the pandemic it was agreed at the November meeting that the terms of the Panel Arrangements should be varied to extend the term of office for the two current Independent Members, Dr Stephen Handsley and Mrs Vicky Newbury. The Independent Members would remain on the Panel for a further 12 months until January 2021 and serve a term of 5 years.

4. March meeting

The Panel received an update on Covid-19.

Precept

The Panel considered the proposal by the Commissioner to increase the precept in February 2021. The Panel considered a report which included financial information detailing cost pressures and intended investments that would result from the increase. The Panel also had the opportunity to hear from Rachel Swann, the Chief Constable, who provided a detailed overview into how the precept increases would be spent. The Panel also heard from Andrew Dale (Chief Finance Officer – Office of the Police and Crime Commissioner) and Simon Allsop (Chief Finance Officer – Derbyshire Constabulary). The Commissioner was questioned in detail by Panel members regarding the proposed increase and questions were also asked of the Chief Constable and Finance officers. The Panel resolved to approve the proposed precept for 2021-22 without qualification or comment. The Commissioner also provided an update on Covid-19 during this meeting.

Complaints

The Panel is responsible for dealing with complaints made about the Commissioner's conduct. Any complaints alleging criminal conduct by the Commissioner must be recorded and referred to the Independent Office for Police Conduct. Any other complaints are handled by the Panel, through informal resolution. The Panel received 3 complaints during the municipal year 2020-21 however, only 1 was recorded under the complaints procedure and considered by a sub-committee. A separate report will be presented to the Panel detailing the complaints considered and further detail of the complaints process can be found at <https://www.derbyshire.gov.uk/community/community-safety/derbyshire-police-and-crime-panel/derbyshire-police-and-crime-panel.aspx>.

Confirmation Hearing

In addition to the meetings above a Confirmation Hearing was held in August 2020 in respect of the proposed appointment of the Chief Constable. The Panel was provided with background information on the appointment process leading to the selection of Mrs Rachel Swann as the preferred candidate for the role of Chief Constable. The Panel was able to ask questions of Mrs Swann with regards to her proposed appointment. The Panel resolved to recommend to the Police and Crime Commissioner that Mrs Rachel Swann should be appointed to the post of Chief Constable for a 5 year term.

National Association of Police, Fire and Crime Panels

Cllr Ged Potter was appointed to the Executive Committee of the National Association of Police, Fire and Crime Panels.

Support

An Improvement and Scrutiny Officer was recruited providing dedicated Scrutiny support to the Panel.

Grant Funding

The host authority receives a grant of up to £67,100 to maintain the Police and Crime Panel. This grant is used to cover administrative costs, training and member expenses.

Appendix 3



GRANT AGREEMENT

between

THE SECRETARY OF STATE FOR THE HOME DEPARTMENT

and

DERBYSHIRE COUNTY COUNCIL

FOR THE PROVISION OF POLICE AND CRIME PANELS

FOR THE PERIOD 01 APR 2020 TO 31 MAR 2021

**HOME OFFICE
Policing Directorate
Crime, Policing and Fire Group
6th Floor Fry Building
2 Marsham Street
London SW1P 4DF**

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HOME OFFICE GRANT TERMS AND CONDITIONS

This **Grant Agreement** is made on **29 May 2020**

Between:

- (1) The Secretary of State for the Home Department acting on behalf of the Crown through the Home Office's Police Strategy and Reform Unit whose principal address is at 2 Marsham Street, London SW1P 4DF (the "**Authority**")

AND

- (2) Derbyshire County Council whose principal address is at County Hall, Matlock DE4 3EG (the "**Recipient**").

IN RELATION TO THE PURPOSE:

Project Name: Police and Crime Panels

Project Reference: 17

Project Description: to maintain a police and crime panel for the Derbyshire police force area as fully detailed in Schedule 1 (the "**Purpose**").

1. Introduction

- 1.1. The Authority is exercising the power conferred to it by section 31 of the Local Government Act 2003 to make awards of grant funding.
- 1.2. This agreement (the "**Grant Agreement**") sets out the legally binding terms and conditions which apply to the Authority providing the Grant and to the Recipient receiving the Grant. It consists of twenty-four (24) Clauses, four (4) Schedules and seven (7) Annexes.
- 1.3. It is supplementary to the Grant Funding Letter (as defined below) and replaces any previously agreed grant terms and conditions between the Authority and the Recipient to deliver the Police and Crime Panel.
- 1.4. The Recipient must be cognisant of, and act in accordance with, any devolved policy bulletins, enactments, orders, statutes, regulations or other similar instruments as appropriate. For example, where the Purpose is delivered in Wales, it must be provided in such a way as to not treat the Welsh language less favourably than English, in accordance with the Welsh Language (Wales) Measure 2011.

2. Definitions and Interpretations

- 2.1. In this Grant Agreement:
"Advance of Need" means a payment made ahead of the relevant expenditure being identified;

“**Annex**” means the annexes attached to this Grant Agreement;

“**Asset**” means any physical item, group of items, or financial asset purchased, donated or developed together, which cost more than £5,000 (five thousand pounds and no pence), excluding VAT, or has a continuous useful life of 12 (twelve) Months or more, and is purchased wholly or partially using the Grant;

“**Authorised Representatives**” means the duly authorised officers, directors, employees etc of either Party as recorded in Annex C;

A “**Calendar Day**” means any calendar day, Sunday through Saturday inclusive;

“**Clause**” means the clauses in these Terms and Conditions which form part of this Grant Agreement;

“**Commencement Date**” means the date on which the Grant Agreement comes into effect and from which Eligible Expenditure may be claimed, being 01 April 2020;

“**Controller and Processor**” take the meaning given in the GDPR;

“**Data Incident**” means any circumstance which results in the loss, theft or inappropriate disclosure of information obtained as a result of pursuing the Purpose to a third party whether by accident or intention;

“**Data Protection Legislation**” means (i) the General Data Protection Regulations (‘GDPR’) 2016/679 including the Law Enforcement Directive, (ii) the Data Protection Act 2018 (‘DPA 2018’) to the extent that it relates to the processing of Personal Data and privacy, and (iii) all applicable Law about the processing of Personal Data and privacy;

“**Delivery Partner**” means any Third Party, who is not a Beneficiary, whether an organisation or an individual, working with the Recipient, and remunerated by the Recipient from the Grant, to deliver the Purpose;

“**Eligible Expenditure**” means all costs, expenses, liabilities and obligations that are related to, incurred by or arise out of the delivery, activities and operations of the Purpose by the Recipient from the Commencement Date, and which comply in all respects with the eligibility rules set out in this Grant Agreement as determined by the Authority at its sole discretion;

A “**Finance Officer**” means a treasurer, finance officer or other officer of equivalent standing of the Recipient, and a Senior Finance Officer shall hold suitable position and authority.

The “**Funding Period**” means the period for which the Grant is provided from the Commencement Date to 31 March 2021.

The “**Grant**” means the grant payable by the Authority to the Recipient under the terms of this Grant Agreement, the amount of which (the “**Grant Amount**”) shall not be more than sixty-seven thousand, one hundred pounds (£67,100).

“Grant Claim” means a payment request submitted on the form found at Annex A by the Recipient to the Authority detailing its claim for Eligible Expenditure;

The **“Grant Funding Letter”** means the letter dated 29 May 2020 from the Authority to the Recipient which sets out supplementary information in relation to the Grant, a copy of which is set out in Annex G;

“Grant Sponsor” means the individual who has been nominated by the Authority to be the principal point of contact for the Recipient in relation to the Grant Agreement and whose name is recorded in Annex C;

“Ineligible Expenditure” means expenditure which is not Eligible Expenditure and as further detailed in Annex E;

“Law” means any applicable law, statute, bye-law, regulation, order, regulatory policy, guidance or industry code, judgment of a relevant court of law, or directives or requirements of any regulatory body, delegated or subordinate legislation;

A **“Mediator”** means any independent person/body appointed by both Parties to mediate upon any dispute.

A **“Month”** means any calendar month;

An **“Outturn Statement”** means the detailed end of year monitoring report template found at Annex B to be submitted by the Recipient to the Authority containing a full breakdown of expenditure and income for the entire Funding Period;

A **“Party”** means a signatory to this Grant Agreement;

“Personal Data” has the meaning given to it in the Data Protection Legislation;

“Schedule” means the Schedules attached to this Grant Agreement;

“Third Party” means any party whether person or organisation other than the Authority or the Recipient; and

A **“Working Day”** means any day from Monday to Friday (inclusive) which is not specified or proclaimed as a bank holiday in England and Wales pursuant to section 1 of the Banking and Financial Dealings Act 1971 including Christmas Day and Good Friday; and

- 2.2. In this Grant Agreement, unless the context otherwise requires:
- a. References to the singular include the plural, and vice versa;
 - b. References to a gender include the other gender and the neuter;
 - c. References to a person include an individual, company, body corporate, corporation, unincorporated association, firm partnership or other legal entity or Crown Body;
 - d. References in this Grant Agreement to Clauses, Appendices, Annexes and the Schedule are references to the clauses, sub-

clauses, appendices annexes and schedule to this Grant Agreement;

- e. The headings in this Grant Agreement are for ease of reference only and shall not affect the interpretation or construction of this Grant Agreement;
- f. References to an Act of Parliament or any Law shall be deemed to include any subordinate legislation of any sort made, or as amended, extended, consolidated or re-enacted from time to time under that Act;
- g. Any references to policy bulletins, enactments, orders, statutes, regulations or other similar instruments shall be construed as a reference to the policy bulletin, enactment, order, statute, regulation or instrument as amended or replaced by any subsequent policy bulletin, statute, enactment, order, regulation, or instrument;
- h. Nothing in this Grant Agreement shall be deemed to constitute a partnership or agency relationship between the Parties at any time.

3. Grant Offer

- 3.1. Subject to the Recipient complying with this Grant Agreement, the Authority offers to reimburse the Recipient as a contribution towards its Eligible Expenditure.
- 3.2. The Recipient acknowledges that the Authority agrees to fund it only for the Grant Amount, the Funding Period and for the Purpose specified in this Grant Agreement and the Grant Funding Letter.

4. Amount of the Grant

- 4.1. The Authority has agreed funding of up to the Grant Amount; the Grant Amount will not be increased in the event of any overspend by the Recipient in its delivery of the Purpose.
- 4.2. It is the Recipient's responsibility to ensure that its receipt, management and expenditure of the Grant complies with all tax requirements in force at the time and for the duration of the Grant Agreement.
- 4.3. The Recipient acknowledges that the Grant and any Eligible Expenditure claimed are not paid as a consideration for any taxable supply for VAT purposes. The Grant Amount and any Eligible Expenditure claimed are therefore deemed to be inclusive of all VAT chargeable, and the Parties agree that the Authority's obligation does not extend to paying any additional amounts in respect of VAT.
- 4.4. The Recipient acknowledges that unless explicitly agreed by the Authority in writing in advance, the Grant will not be used to meet the cost of any import, customs duties or any other taxes or similar

charges applied by non-UK governments or by any non-UK local public authority.

5. Timing of the Grant

- 5.1. Grant Claims will be paid in accordance with the payment profile detailed in Schedule 2 within thirty (30) Calendar Days of the receipt and agreement of a correctly submitted Annex A and all supporting monitoring information as set out in Schedule 3.
- 5.2. The Authority shall have no liability to the Recipient for any Losses caused by a delay in the approval of, or amendment to, Eligible Expenditure howsoever arising.
- 5.3. In order for the Grant to be released, the Authority will require the Recipient to:
 - a. have signed and returned a copy of this Grant Agreement to the Authority, and
 - b. have provided the appropriate bank details, and
 - c. be in compliance with the Grant Agreement throughout the period for which Eligible Expenditure is being claimed.
- 5.4. Payments will be made by BACS using account details that the Recipient must supply to the Authority. The Recipient is responsible for ensuring that the Authority has been notified of its correct bank account details and any subsequent changes. Original notification of, and all subsequent amendments to, the Recipient's bank details must be provided on its own headed notepaper duly signed by a Senior Finance Officer.
- 5.5. The information which the Recipient must supply to the Authority to allow BACS payments is as follows:

Recipient Address Details

1. Registered address
2. Credit Control/Finance Address

Contact Details

1. Email address for purchase orders
2. Email address for remittance advice
3. Email address for invoice queries
4. Telephone Number for Accounts Receivable/Credit Control

Payment Details

1. Bank Name
2. Branch name and address
3. Company Bank Account Name
4. Bank Account Number
5. Bank Account Sort Code

5.6. The Authority is not permitted to pay the Grant in Advance of Need. If the Authority reasonably believes that payment is being made in Advance of Need, it may change the timing and/or the amount of any outstanding Grant payments.

5.7. Nothing in this Grant Agreement shall oblige the Authority to reimburse claims against the Grant beyond the Funding Period.

6. Managing the Grant

6.1. Each Party shall record the contact details of their Authorised Representatives at Annex C. At a minimum these must be the Grant Sponsor and Project Manager.

6.2. The Recipient shall:

- a. have a sound administration and audit process, including financial safeguards against fraud, theft, money laundering, counter-terrorist financing or any other impropriety or mismanagement in connection with the administration of the Grant,
- b. ensure that the adequacy of the systems in place are subject to independent audit, the results of which must be shared with the Authority, and
- c. ensure that appropriate professional arrangements are put in place for the management of the Grant and the reporting of expenditure.

6.3. The Recipient should take all necessary steps to ensure that the Grant is accounted for and monitored separately from the Recipient's other funding streams.

6.4. Monies and income derived from Third Parties as Supplementary Funding shall not constitute the Grant and will be accounted for, identified and reported on separately.

6.5. The Recipient may not vire (move) funds between this Grant and other grants made to it.

6.6. The Recipient shall account for the Grant on an accruals basis. This requires the cost of goods or services to be recognised when the goods or services are received, rather than when they are paid for.

6.7. In support of Grant Claims, and in accordance with the monitoring and reporting requirements detailed in Schedule 3, using the template at Annex A the Recipient shall provide the Authority with biannual narrative reports detailing progress against critical success factors; these should also include headline financial reconciliations highlighting spend and any significant financial variances, underspend or overspend.

6.8. At the end of each Funding Period, the Recipient shall submit an Outturn Statement to the Authority. This Outturn Statement must:

- a. be in the format set out in Annex B, and
- b. be signed by a Finance Officer.

- 6.9. The Authority may ask the Recipient to clarify information provided to it. If so, the Recipient shall comply with any such request.
- 6.10. The Authority may at its discretion provide the Recipient with feedback on the adequacy of any report or claim and may also require the Recipient to re-submit a report or claim, having taken into account any issues raised in the Authority's feedback.
- 6.11. The Recipient shall promptly notify and repay to the Authority any overpayment or monies incorrectly paid to it. This includes (without limitation) situations where either an incorrect sum of money has been paid or where Grant has been paid in error before the Recipient has complied with all conditions attaching to the Grant.
- 6.12. The Recipient shall be mindful of the UK Government's "Greening Government Commitments"¹ both current and as revised, updated or amended, and ensure that all its activities and those of its Delivery Partners are delivered in accordance with these.
- 6.13. The Recipient shall have appropriate and proportionate contingency plans in place to ensure continuity of delivery of the Purpose, and the Authority shall have sight of these contingency plans and shall have the right to request assurance from the Recipient as to their implementation, where necessary, to ensure continuity of delivery of the Purpose.
- 6.14. The Recipient should ensure it has in place suitable measures for the orderly management of its business operations following the expiry or termination of this Grant Agreement howsoever caused.

7. Records to be kept

- 7.1. The Recipient shall maintain and operate effective monitoring and financial management systems, and keep a record of all:
 - a. Eligible Expenditure and retain all accounting records relating to the Eligible Expenditure for a period of at least seven (7) years after the end of the Funding Periods. Accounting records should include: original invoices, receipts, minutes from meetings, accounts, deeds, interest accrued, returns on investments, income generated, Supplementary Funding received and any other relevant documentation, whether in writing or electronic form, and
 - b. gifts, both given and received, in connection with the Purpose.
- 7.2. Where the Recipient is paying Grant monies to Delivery Partners, and any Delivery Partner(s) wish to retain such original documentation, the Recipient should obtain from the Delivery Partner(s):
 - a. certified copies of the accounting documents justifying income and expenditure incurred by the Delivery Partner(s) in relation to

¹ <https://www.gov.uk/government/publications/greening-government-commitments-2016-to-2020/greening-government-commitments-2016-to-2020>

the Purpose,

- b. an annual, written statement, signed by the Delivery Partner's treasurer or equivalent senior finance officer, of how the money was spent, and
- c. a signed undertaking that the Delivery Partner will retain such documents for the period prescribed above.

7.3. The Grant may not be used to purchase capital items or Assets.

8. Eligible and Ineligible Expenditure

8.1. Eligible Expenditure is expenditure incurred by the Recipient in managing, administering and delivering the Purpose. The Recipient may not use the Grant for any activities other than those required to achieve the Purpose and as more fully described in Schedule 1, or as approved in writing by the Authority.

8.2. Annex E describes in more detail examples of Ineligible Expenditure.

8.3. The following costs/payments will be classified as Eligible Expenditure if incurred in delivering the Purpose:

- a. fees charged or to be charged to the Recipient by the external auditors/accountants for reporting/certifying that the Grant paid was applied for its intended purposes, for example an Independent Assurance Statement,
- b. giving evidence to Parliamentary Select Committees in connection with the Purpose or this Grant Agreement,
- c. attending meetings with government ministers or civil servants to discuss the progress of a taxpayer funded grant scheme.

9. Audit and Inspection

9.1. The Recipient shall comply with the international accounting standards.

9.2. The Recipient shall ensure that the Grant falls within the scope of audit as part of the Recipient's annual internal and external audit programme.

9.3. The value and purpose of the Grant shall be identified separately in the Recipient's audited accounts (or the notes thereto). Should the Recipient's annual turnover fall below the threshold that is the minimum legal requirement for formal external audit (currently £10.2 million) then an Independent Assurance Statement must be signed off by either an external auditor or an independent qualified accountant.

9.4. On request, the Recipient will send the Authority a copy of its, or its Delivery Partners, latest audited accounts, or a cashflow statement and forecast. This should be:

- a. a hard copy sent by traditional post, or
- b. an electronic copy sent by email (e.g. a hyperlink to a public

facing website, or PDFs of the document(s)).

- 9.5. The Recipient shall, without charge, permit any officer or officers of the Authority, agents, external auditing bodies (e.g. European Court of Auditors, National Audit Office or Public Sector Audit Appointments) or their nominees, access to its Staff, premises, facilities and records and shall, if so required, provide appropriate oral or written explanations from them, for the purpose of examining, discussing, monitoring and evaluating the Recipient's fulfilment of the conditions of this Grant Agreement and the economy, efficiency and effectiveness with which the Grant has been used.
- 9.6. Further to Clause 9.5, any officer or officers of the Authority, external auditing bodies (e.g. European Court of Auditors, National Audit Office or Public Sector Audit Appointments) or their nominees, may also examine and take copies of the Recipient's books of account and such other documents or records as in such officers' view may relate to the use of Grant.
- 9.7. The Authority shall endeavour, but is not obliged, to provide due notice of its intent to undertake the activities described in Clauses 9.5 and 9.6.
- 9.8. The Recipient agrees to make available immediately to the Authority, free of charge, and whenever requested, copies of audit reports obtained by the Recipient in relation to the Purpose or other aspect of the Grant Agreement.
- 9.9. In all cases, the Recipient shall supply the Authority with all such financial information, as is reasonably requested from time-to-time, on an open book basis.

10. Lawful conduct, equal opportunities, use of volunteers and activities funded by the Grant

- 10.1. The Recipient shall ensure that all reasonable steps have been taken to ensure that it and any Delivery Partner acting on its behalf complies with all applicable Laws and shall possess all the necessary qualifications, licences, permits, skills and experience to discharge their responsibilities effectively, safely and in conformance with any applicable Law for the time being in force (so far as binding on the Recipient and/or the Delivery Partner).
- 10.2. The Recipient shall ensure that it, and any Delivery Partner, has relevant organisational policies in place to deliver the Purpose. These should cover, but not be limited to: whistleblowing; safeguarding; diversity and equality; environmental; data protection; and information security, and shall remain current for the duration of the Funding Period and be reviewed regularly by appropriately senior Staff and confirmed by the board or Trustee(s). All Staff must be aware of these policies and of how to raise any concerns.
- 10.3. The Recipient shall take all reasonable steps to ensure that it and anyone acting on its behalf do not bring the Authority or the grant into

disrepute for instance by reason of prejudicing the Purpose and/or being contrary to the interests of the Authority.

- 10.4. In particular, the Authority has a zero-tolerance approach towards sexual exploitation, abuse and all forms of bullying, harassment and discrimination, especially for those who have a protected characteristic under the Equality Act 2010 (sexual orientation, race, religion, age, disability, sex, gender reassignment, marriage/civil partnerships and maternity/pregnancy) as well as gender identity. The Recipient will immediately contact the Grant Sponsor to report any credible suspicions, or actual incidents, of sexual exploitation, abuse or harassment related to this Grant Agreement or which would be of significant impact to the Authority or other Crown Body. For example, any event that affects the governance or culture of the Recipient, such as those related to senior management, must be reported.
- 10.5. Any event notified to the Authority under Clauses 10.3 and/or 10.4 may be investigated by the Authority or a duly nominated representative or agent. The Recipient will fully co-operate with any investigation.
- 10.6. The Recipient shall comply fully with the Code of Conduct for Recipients of Government General Grants (the 'Code of Conduct')². It will ensure that Staff are made aware of their obligations and undertake their duties when delivering the Purpose in a manner consistent with the principles outlined in the Code of Conduct.
- 10.7. Before entering into any agreement with a Third Party offering Supplementary Funding towards delivery of the Purpose, the Recipient must:
 - a. ensure that robust due diligence processes (similar to that set out in Clause 12.2), regarding both the prospective donor and the source of the monies, have been undertaken, and
 - b. inform the Authority of these details including, but not necessarily limited to, the source, value, scope, nature and any conditions of the Supplementary Funding.
- 10.8. Following receipt of information in accordance with Clause 10.7, the Authority shall, at its sole discretion, confirm in writing whether the use of the Supplementary Funding for the Purpose is acceptable to it. In instances where the Authority does not agree the Supplementary Funding can be used, for example by reason of prejudicing or conflicting with the Purpose and/or being contrary to the interests of the Authority, the Recipient must confirm in writing (i) what it intends to do with the Supplementary Funding, and (ii) that it will not use the Supplementary Funding to deliver the Purpose.
- 10.9. The Recipient agrees and accepts that it may become ineligible for grant support and be required to repay all or part of the Grant – not

² <https://www.gov.uk/government/publications/supplier-code-of-conduct>

limited to any Unspent Monies – if it engages in tax evasion or aggressive tax avoidance in the opinion of HMRC.

11. Conflict of interest and financial or other irregularities

- 11.1. The Recipient and its Staff shall take all reasonable steps to avoid any actual or perceived bias or conflicts of interest in respect of the Grant or the Purpose.
- 11.2. Neither the Recipient nor its Delivery Partners shall engage in any personal, business or professional activity which conflicts or could conflict with any obligations in relation to the Agreement.
- 11.3. The Recipient must set up formal procedures to require all Staff to avoid any potential conflict of interest and to declare any personal or financial interest in any matter concerning the Recipient’s activities and to be excluded from any discussion or decision-making relating to the matter concerned.
- 11.4. If the Recipient has any grounds for suspecting financial irregularity in the use of any Grant paid under this Grant Agreement, it must notify the Authority immediately, explain what steps are being taken to investigate the suspicion, and keep the Authority informed about the progress of the investigation.
- 11.5. For the purposes of Clause 11.4, “financial irregularity” includes (but is not limited to) potential fraud or other impropriety, mismanagement, bribery, corruption and the use of the Grant for purposes other than those stipulated by the Authority in this Grant Agreement.
- 11.6. The Recipient agrees that it will not apply for or obtain, and will assure that any Beneficiary, or Delivery Partner, is not in receipt of or does not apply for or themselves obtain, Duplicate Funding. The Authority may refer the Recipient, Beneficiary or a Delivery Partner to the police should it dishonestly and intentionally obtain Duplicate Funding to deliver the Purpose.
- 11.7. The Recipient shall not offer or give, or agree to give, to the Authority or any other public body or any person employed by or on behalf of the Authority or any other public body any gift or consideration of any kind as an inducement or reward for doing, refraining from doing, or for having done or refrained from doing, any act in relation to the obtaining or execution of the Grant Agreement or any other contract with the Authority or any other public body, or for showing or refraining from showing favour or disfavour to any person in relation to the Grant Agreement or any such contract.

12. Procurement procedures

- 12.1. In procuring any works, goods or services or otherwise disbursing the Grant, the Recipient shall follow its own procurement guidelines to secure value for money and shall apply the principles of non-

discrimination and equal treatment, transparency, mutual recognition and proportionality in all purchases of goods and services.

- 12.2. Prior to the award of onward funding or a contract or other legal form of agreement to a Delivery Partner, the Recipient shall ensure that it carries out a thorough and proportionate documented due diligence process to understand an organisations' financial status, viability and capability; technical skills and capacity; operational and commercial processes and procedures; background and history (fraud risk, money laundering, terrorism, modern slavery etc); and ensure the payment is not duplicate funding.
- 12.3. When procuring or entering into an arrangement with a Delivery Partner, the Recipient shall be mindful of the intent, and apply the spirit, of the:
 - a. Government Functional Standard for General Grants³, and
 - b. Compact in all its dealings with Civil Society Organisations.
- 12.4. Where the Recipient enters into a contract (or any other form of agreement) with a Delivery Partner for the provision of any part of the Purpose, the Recipient shall ensure that such terms are included in the agreement which:
 - a. requires the Recipient to pay all sums due to the Delivery Partner within a specified period not exceeding thirty (30) Calendar Days from the date of receipt of a validated invoice or claim request as defined by the terms of the agreement,
 - b. enable the Recipient to recover unspent or misused funds in accordance with this Grant Agreement,
 - c. ensure that all funded activities are fully reported on,
 - d. comply with all the Authority's positions and policies referred to in this Grant Agreement, and
 - e. provide the Authority with an up-to-date, unredacted, signed copy of any agreement, including any amendments, entered into upon request.
- 12.5. Onward payment of the Grant to Beneficiaries and the use of Delivery Partners shall not relieve the Recipient of any of its obligations under this Grant Agreement, including any obligations to repay the Grant.
- 12.6. The Recipient shall remain responsible at all times for paying the Delivery Partner. The Authority shall have no responsibility for paying a Delivery Partner's invoice or claim request.
- 12.7. If the Authority becomes aware that the Recipient has failed to pay a Delivery Partner's undisputed invoice or Beneficiary's claim request within thirty (30) Calendar Days of receipt, the Authority shall be entitled to publish the details of the late or non-payment (including on government websites and in the press).

³ <https://www.gov.uk/government/publications/grants-standards>

- 12.8. Prior to Commencement, the Recipient shall provide the Authority with a list of all identified Delivery Partners and must thereafter provide written notification in advance of any planned changes to this list whether additions, removals or replacements of Delivery Partners.
- 12.9. In accordance with Clause 12.1, where the Recipient follows a sole source, single tender or other direct award procedure above £5,000 it must provide and document a full justification that can be robustly defended and maintain the relevant documentation on file. Such justification may apply in exceptional circumstances, for example where:
- a. the requirement can demonstrably be met only by proprietary or specialist equipment,
 - b. the requirement can demonstrably be met only by a single available entity with extremely niche skills, or
 - c. there are simply no alternative sources of supply.
- 12.10. The Recipient shall not carry out any activities that could be constituted as state-aided and nor shall it pay illegal State Aid to any organisation or individual⁴. The Recipient will maintain appropriate records of its compliance with the state-aid Law requirements.

13. Insurance coverage

- 13.1. The Recipient shall ensure that appropriate and adequate insurance arrangements (including but not limited to public liability insurance or an equivalent policy) are in place to deliver the Purpose and shall provide evidence of such insurance to the Authority on request. This includes the Loss or personal injury to persons undertaking activities in furtherance of the Purpose

14. Indemnity

- 14.1. The Authority accepts no liability to the Recipient or to any Third Party for any costs, claims, damage or Losses, howsoever they are incurred, except to the extent that they arise from personal injury or death which is caused by the Authority's negligence.
- 14.2. The Recipient agrees to indemnify the Authority for any costs, claims, damages or losses which arise as a result of negligence by the Recipient or out of any breach by the Recipient of any terms of this Grant Agreement.
- 14.3. Neither Party shall be liable for any delays in performing or failure to perform any of its obligations under this Grant Agreement if such delay or failure is caused by a force majeure event, such as acts of God, civil disorder, military action, acts of terrorism, natural disaster and other circumstances which are beyond the reasonable control of either Party, but excluding any industrial dispute. In such event,

⁴ <https://www.gov.uk/guidance/state-aid>

either Party will give immediate notice in writing to the other Party of the existence of such cause or event and of the likelihood of delay.

- 14.4. Nothing in this Grant Agreement limits any liability which cannot legally be limited, including (but not limited to) liability for:
 - a. death or personal injury caused by negligence; and
 - b. fraud or fraudulent misrepresentation.

15. Intellectual Property Rights and Branding

- 15.1. The Parties shall retain exclusivity in their own Background Intellectual Property Rights (IPRs).
- 15.2. Unless otherwise agreed in writing, the Recipient shall own all IPR created using the Grant. However, the Recipient shall grant to the Authority at no cost a non-exclusive irrevocable, royalty-free perpetual worldwide license to use and to sub-license the use of any material or IPR created by the Recipient whether partially or wholly funded from the Grant for such purposes as the Authority shall deem appropriate.
- 15.3. Ownership of Third Party software or other IPR necessary to deliver the Purpose will remain with the relevant Third Party.
- 15.4. Neither Party shall have the right to use any of the other Party's names, logos, branding or trade marks on any of its products or services without the other Party's prior written consent.
- 15.5. The Recipient shall seek approval from the Authority prior to using the Authority's logo when acknowledging the Authority's financial support of its work. Such acknowledgements (where appropriate or as requested by the Authority) shall include the Authority's name and logo (or any future name or logo adopted by the Authority) using the templates provided by the Authority from time to time.
- 15.6. When using the Authority's name and logo, the Recipient will comply with all reasonable branding guidelines as issued by the Authority from time-to-time.
- 15.7. The Authority may freely share any information, branding, know-how, system or process developed using the Grant during the Funding Period and beyond to support similar or subsequent projects.

16. Breach of Grant Conditions

- 16.1. If the Recipient fails to comply with any of the conditions set out in this Grant Agreement, or if any of the events mentioned in Clause 16.2 occur, then, subject to the provisions made in Clauses 16.3 to 16.5, the Authority may reduce or suspend, or withhold Grant payments, require all or any part of the Grant to be repaid and/or terminate this Grant Agreement with immediate effect. The Recipient must repay any amount required to be repaid under this Clause 16

within thirty (30) Calendar Days of receiving any such demand for repayment.

- 16.2. The events referred to in Clause 16.1 are as follows:
- a. the Recipient purports to transfer, novate, assign or otherwise dispose of the whole or any part of its rights, interests or obligations arising under this Grant Agreement without the written agreement in advance of the Authority,
 - b. the Recipient has not submitted reports, information, or other documentation within the required timescales when reasonably requested by the Authority,
 - c. any information provided in the application for the Grant (or in a claim for payment) or in any subsequent supporting correspondence is found to be incorrect or incomplete to an extent which the Authority considers to be material,
 - d. the Recipient takes inadequate measures to investigate and resolve any reported irregularity,
 - e. the Recipient ceases to operate and/or changes the nature of its operations to an extent which the Authority considers to be significant or prejudicial,
 - f. the Recipient is subject to
 - a proposal for a voluntary arrangement,
 - has a petition for an administration order, or a winding-up order brought against it,
 - passes a resolution to wind up,
 - makes any composition, arrangement, conveyance or assignment for the benefit of its creditors, or purports to do so,
 - is subject to the appointment of a receiver, administrator or liquidator
 - g. the Authority considers that the Recipient has not made satisfactory progress with its delivery of the Purpose; or
 - h. the Recipient fails to comply with the provisions regarding State Aid,
 - i. the Recipient (or a Beneficiary or a Delivery Partner) is in receipt of Duplicate Funding, or
 - j. the Recipient receives Supplementary Funding and fails to comply with its obligations set out Clauses 10.7 and 10.8, or
 - k. the Recipient breaches the Code of Conduct and/or fails to report an actual or suspected breach of the Code of Conduct by the Recipient, its Staff, Delivery Partner(s), Beneficiary or other Third-Party involved in achieving the Purpose.

- 16.3. It is hoped that most difficulties encountered by the Recipient can be overcome with the advice and support of the Authority. Where the Authority reasonably believes that the Recipient may be in breach of this Grant Agreement, the Authority will write to the Recipient giving particulars of its concern or of such breach.
- 16.4. The Recipient must act within thirty (30) Calendar Days (or earlier, depending on the severity of the problem) to address the Authority's concern or remedy the breach, and may consult the Authority or agree with it an action plan for resolving the problem. If the Authority is not satisfied with the steps taken by the Recipient to address its concern or remedy the breach, the Authority may exercise all or any of its rights under this Grant Agreement.
- 16.5. The Authority will act reasonably and proportionately (taking account of all of the circumstances) when exercising its rights under this Grant Agreement.
- 16.6. On termination of this Grant Agreement for any reason, the Recipient (as soon as reasonably practicably) shall return to the Authority any Assets or property or Unspent Monies (unless the Authority gives its written consent to their retention) then in its possession in connection with this Grant Agreement.

17. Funding Period and Termination including Consequences

- 17.1. The Authority does not commit to renew or continue financial support to the Recipient beyond the final Funding Period.
- 17.2. Subject to Clauses 16.3 to 16.5, the Authority may terminate this Grant Agreement forthwith by serving a written notice on the Recipient if the Recipient is in material breach of its obligations arising from the Grant Agreement by:
 - a. using any part of the Grant for a purpose other than the Purpose,
 - b. making any false, incorrect or misleading statement in order to obtain this Grant or has been involved in any illegal activity or improper act in its administration,
 - c. failing to comply with any obligation arising from the Grant Agreement, or
 - d. failing to remedy any breach of this Grant Agreement.
- 17.3. The Recipient may terminate this Grant Agreement forthwith by serving a notice on the Authority in writing if it has made a written request for payment of a sum properly due (i.e. undisputed and validated) to it under this Grant Agreement and the Authority has failed to make payment of that sum within thirty (30) Calendar Days of receiving the request.
- 17.4. Notwithstanding Clauses 17.2 and 17.3, this Grant Agreement may be terminated by either Party giving the other at least three (3) Months (or other agreed time period) notice in writing.

- 17.5. With reference to Clause 17.3, and in the event that the Authority exercises its right to give notice of termination under Clause 17.4, the Authority shall reimburse the Recipient for any commitments, liabilities or Eligible Expenditure which represent an unavoidable direct Loss to the Recipient by reason of the termination of the Grant Agreement, provided that the Recipient takes all reasonable steps to mitigate such Loss.
- 17.6. In such an event, the Recipient shall submit a fully itemised and costed list of unavoidable direct Losses which it would seek to recover from the Authority, with supporting evidence, of such Losses reasonably and actually incurred by the Recipient as a result of a termination by the Authority in accordance with Clause 17.5.
- 17.7. Any payment due under Clauses 17.5 and 17.6 will be made within thirty (30) Calendar Days from receipt of a correctly submitted and verified invoice(s) but in any case upon completion to the Authority's satisfaction, and at the Recipient's sole cost, of any reasonable investigation required by the Authority of the costs detailed within the invoice(s). The Authority agrees that any such investigation shall be carried out as soon as reasonably practicable.
- 17.8. The right to reimbursement shall be excluded if termination of the Grant Agreement is enacted under the provisions set out in Clause 17.2 above or where the Recipient has exercised its right to give notice of termination under Clause 17.4. In such instances the Recipient shall return all Unspent Monies to the Authority.
- 17.9. The Authority may at any time serve notice upon the Recipient requiring it to cease using a particular Delivery Partner, the Authority will only do so where it has reasonable grounds to object to the continued use of such a Delivery Partner. Any such notice will be cognisant of the terms of Recipient's legally binding agreement and, where appropriate, the need for an alternative to be provided.
- 17.10. Although the Authority does not seek to exercise detailed control of the Recipient's activities, it must ensure that public money is protected and value for money achieved. Consequently, the Recipient shall, in accordance with this Grant Agreement, promptly provide such assistance and comply with such timetable as the Authority may reasonably require for the purpose of ensuring a smooth transfer of responsibility upon the expiry or other termination of the Grant Agreement. The Authority will look to the Recipient to provide such assistance as appropriate prior to the expiry or other termination of the Purpose.
- 17.11. Such assistance may include the delivery of documents and data in the possession or control of the Recipient which relate to the activities funded by the Grant.
- 17.12. Any termination of this Grant Agreement will be without prejudice to any other rights or remedies of the Parties under this Grant Agreement or at law and will not affect any accrued rights or liabilities of the Parties at the date of termination.

18. Amendments to the Grant Agreement

- 18.1. The Authority shall have the right to amend the Schedule 1 and/or Schedule 3 at any time provided that such amendment as required is related in nature to the Purpose and does not impose an additional cost or other material burden upon the Recipient. The Authority will endeavour to provide thirty (30) Calendar Days' written notice of any such amendment so required.
- 18.2. The Authority may on not less than thirty (30) Calendar Days' notice to the Recipient amend this Grant Agreement to ensure that it complies with any guidance issued by the Information Commissioner's Office.
- 18.3. Additionally, either Party may request in writing changes to the Grant Agreement during the Funding Period.
- 18.4. If the Parties cannot agree on the content of a change initiated under Clause 18.3 then the proposed change(s) will be withdrawn. In all events the Authority shall hold the final right of rejection.
- 18.5. Any amendments to this Grant Agreement and/or the Grant Funding Letter shall:
 - a. only be valid if they are documented using a Grant Variation Notice form duly signed by an Authorised Representative of both Parties, and
 - b. be recorded in Annex F.
- 18.6. The Grant Agreement itself shall be updated and re-issued bearing the next sequential version control reference.

19. Confidentiality

- 19.1. Nothing in this Clause 19 applies to information which is already in the public domain or the possession of the Recipient other than by reason of breach of this Clause 19.
- 19.2. The Recipient undertakes to keep confidential and not to disclose and to procure that its Staff keep confidential and do not disclose any information of a sensitive nature which it has obtained by reason of this Grant Agreement.
- 19.3. The Recipient shall not use any information which it has obtained as a result of delivering the Purpose (including, without limitation, any information relating to any Beneficiary) in any way which is inaccurate or misleading.
- 19.4. The Recipient shall ensure that when required any information of a sensitive nature, howsoever held, is securely disposed of in accordance with the Authority's directions. If additional budget is required, the Recipient will liaise with the Authority to agree costs in writing in advance of committing expenditure.
- 19.5. The provisions of this Clause 19 shall survive the termination of this

Grant Agreement, however that occurs.

20. Data Protection, Data Sharing, Information Acts, Publicity and Transparency

- 20.1. The Recipient acknowledges that grant agreements issued by Crown Bodies may be published on a public facing website and that the Authority shall disclose payments made against this Grant Agreement in accordance with the UK Government's commitment to efficiency, transparency and accountability.
- 20.2. Where applicable, the Recipient and the Authority are required to comply at all times with its respective obligations under the Information Acts, any subordinate legislation made and any guidance issued by the Information Commissioner.
- 20.3. Where appropriate, the Recipient shall ensure that it has adequate provisions and controls in place to manage:
 - a. Data and prevent data incidents
 - b. the processing of data shared between itself and any Delivery Partner and/or Beneficiary (and vice versa), and
 - c. compliance with its obligations arising from the Data Protection Legislation.
- 20.4. Nothing in Clause 19 (Confidentiality) shall prevent the Authority from sharing information obtained in relation to the Recipient with any Crown Body, provided that in disclosing information the Authority discloses only the information which is necessary for the purpose concerned and requests that the information is treated in confidence and that a confidentiality undertaking is given where appropriate.
- 20.5. The Recipient hereby acknowledges that a Crown Body receiving such information may further disclose the information to other Crown Bodies on the basis that the information is confidential and is not to be disclosed to a Third Party which is not a Crown Body.
- 20.6. The Authority may also disclose any information obtained from and about the Recipient for the purpose of the examination and certification of the Authority's accounts; or pursuant to section 6(1) of National Audit Act 1983 of the economy, efficiency and effectiveness with which the Authority has used its resources.
- 20.7. The Recipient agrees to assist and cooperate with the Authority to enable the Authority to comply with its obligations under the Information Acts whenever a request is made for information which relates to or arises out of this Grant Agreement.
- 20.8. The Authority will take reasonable steps to notify the Recipient of a request for information to the extent that it is permissible and reasonably practical to do so. The Authority may also, but is not required to, consult with the Recipient regarding any requests for information and take account of any comments made by the Recipient to determine any matters which either Party may consider

as Commercially Sensitive Information or be otherwise exempt. This will inform the Authority's decision regarding any redactions or exemptions for which the Authority shall, at its absolute discretion and based on its own legal obligations, retain the final decision.

- 20.9. The Parties agree and acknowledge that, except for any information which is exempt from disclosure in accordance with the provisions of the Information Acts, the content of the Agreement and the Purpose is not confidential.
- 20.10. The Recipient will comply with all reasonable requests from the Authority to facilitate visits, provide reports, statistics, photographs and case studies or any other such material that will assist the Authority in publicising the Purpose.

21. Notices

- 21.1. All notices, invoices and other communications relating to this Grant Agreement shall be in writing and in English and shall be served by a Party on the other Party at its address shown at the head of this Grant Agreement.
- 21.2. Notices delivered hereunder shall be deemed to be delivered if:
 - a. delivered by hand, upon receipt,
 - b. sent by pre-paid registered first-class post (providing it is not returned as undelivered to the sender), two (2) Working Days after posting,
 - c. sent by electronic mail, on the date of delivery except when an electronic mail is sent on a day which is not a Working Day or after 3:00pm on a Working Day, the electronic mail shall be deemed to have been received on the next Working Day.

22. Contract (Rights of Third Parties) Act 1999

- 22.1. No person who is not a Party to this Grant Agreement shall have the right to enforce any its terms.

23. Dispute Resolution

- 23.1. The Parties shall attempt in good faith to negotiate an amicable settlement to any dispute between them arising out of or in connection with this Grant Agreement and such efforts shall involve the escalation of the dispute from the Grant Sponsor and Project Manager to an appropriately senior representative of each Party.
- 23.2. All disputes and complaints (except for those which relate to the Authority's right to withhold funds or terminate this Grant Agreement) shall, in the first instance be referred to the Project Manager and the Grant Sponsor.

- 23.3. Should the dispute or complaint remain unresolved within fifteen (15) Working Days of the matter first being referred to the individuals named in Clause 23.2, either Party may refer the matter to the Parties' nominated senior representatives with an instruction to attempt to resolve the matter by agreement within twenty (20) Working Days, or such other period as may be mutually agreed by the Authority and the Recipient.
- 23.4. If the dispute cannot be resolved by the Parties within the period agreed in accordance with Clause 23.3, the dispute may by agreement between the Parties be referred to a neutral adviser or mediator (the "Mediator") chosen by agreement between the Parties. All negotiations connected with the dispute shall be conducted in confidence and without prejudice to the rights of the Parties in any further proceedings.
- 23.5. If the Parties fail to appoint a Mediator within one (1) Month, or fail to enter into a written agreement resolving the dispute within one (1) Month of the Mediator being appointed, then either Party may exercise any remedy it has under applicable Law.

24. Governing Law

- 24.1. This Grant Agreement shall be subject to and construed in accordance with English Law and subject to the exclusive jurisdiction of the courts of England and Wales. This does not limit the Authority's right to commence legal proceedings in any other court of competent jurisdiction, including concurrent proceedings.

Home Office

Police Strategy and Reform Unit

29 May 2020

ACCEPTANCE OF GRANT

Derbyshire County Council accepts the offer of the Grant contained in this Grant Agreement and agrees to comply with the terms and conditions of the Grant on which the offer is made.

On behalf of Derbyshire County Council:

Project Manager

| | |
|------------|--|
| Signature: | |
| Name: | |
| Date: | |
| Position: | |

Senior Finance Officer (if different to above)

| | |
|------------|--|
| Signature: | |
| Name: | |
| Date: | |

| | |
|-----------|--|
| Position: | |
|-----------|--|

Bank details for Grant payment

| | |
|-----------------|--|
| Bank name: | |
| Branch name: | |
| Sort code: | |
| Account name: | |
| Account number: | |
| Address: | |
| Post code: | |

Signed on behalf of the Home Office (the Authority):

| | |
|------------|--|
| Signature: | |
| Name: | |
| Date: | |
| Position: | |

HOME OFFICE USE:

Payment instructions per Grant Holding Unit:

| Metis codes: | Directorate code | Cost centre | Account code (1) | Account code (2) | Project code | Supplier code |
|--------------|------------------|-------------|------------------|------------------|--------------|---------------|
| | 0001 | 1202013 | 541021 | - | 00000000 | 110404 |

SCHEDULE 1 – THE PURPOSE

THE PROJECT

- 1.1 This Schedule 1 describes the outcomes and impacts the Authority is seeking to achieve through this Grant funding. The Authority is providing the Grant to enable the Recipient to maintain a Police and Crime Panel for the Derbyshire police area.

BACKGROUND

- 1.2 The first duty of the government is to keep citizens safe and the country secure. The Home Office has been at the front line of this endeavour since 1782. As such, the Home Office plays a fundamental role in the security and economic prosperity of the United Kingdom.
- 1.3 The Home Office is the lead government department for immigration and passports, drugs policy, crime, fire, counter-terrorism and police.
- 1.4 The maintenance of a Police and Crime Panel is in accordance with the Police Reform and Social Responsibility Act 2011 and the Regulations made under it.
- 1.5 The functions and responsibilities of Police and Crime Panels (PCPs) in England and Wales are set out in the Police Reform and Social Responsibility Act 2011. PCPs were introduced to scrutinise the actions and decisions of police and crime commissioners, and provide support and challenge to the commissioner in the exercise of their functions. They focus their attention on key strategic actions and decisions taken by the commissioner, including whether they have achieved the objectives set out in their police and crime plan, considered the relevant priorities of their community safety partners and consulted appropriately with the public.
- 1.6 This Grant is paid to the Recipient in exercise of the power conferred upon the Secretary of State for the Home Department under section 31 of the Local Government Act 2003 to provide financial support to a local authority in England or Wales.
- 1.7 This Grant Agreement provides a broad well defined framework in which the Recipient may exercise independent discretion.

KEY DELIVERABLES

- 1.8 That there is a Police and Crime Panel for the police area able to carry out its scrutiny functions and responsibilities as set out in the Police Reform and Social Responsibility Act 2011.

KEY ROLES AND RESPONSIBILITIES

- 1.9 The Authority will nominate a Grant Sponsor who will work with the Recipient's Project Manager to monitor the delivery of the agreed Purpose and act as the principal point of contact. Their name and contact details are recorded in Annex C.
- 1.10 The Recipient will nominate a Project Manager who shall have responsibility for ensuring delivery of the Purpose to achieve the outcomes and impacts. They shall act as the Authority's principal point of contact, and their details shall be recorded in Annex C.

INDICATIVE EXPENDITURE BREAKDOWN

- 1.11 The maximum Grant Amount payable by the Authority to the Recipient under the terms of this Grant Agreement shall not be more than sixty-seven thousand, one hundred pounds (£67,100) for financial year 2020/21.
- 1.12 The Grant will be paid in accordance with the profile described in Schedule 2 and is intended to reimburse Eligible Expenditure incurred paying for the activities required to achieve the outcomes described in this Schedule 1.
- 1.13 The Grant has been calculated using the following formula:

| REF | HEADINGS | EXPENSES (£) |
|------------|---|---------------------|
| A | Administration | £53,300 |
| B | Expenses (up to £920 per member) | £13,800 |
| C | Translation fees | Nil |
| D | TOTAL ELIGIBLE EXPENDITURE (A+B+C) | £67,100 |

- 1.13 Recipients are free to transfer any underspend in member expenses (B) to pay for additional administration costs (A). The total grant amount (D) cannot be exceeded.

SCHEDULE 2 – PAYMENT AND REPORTING SCHEDULE

Indicative Payment Schedule for delivering the Purpose

Grant Claims must be submitted in accordance with the schedule set out in the table below; payment will be subject to compliance with obligations arising from the Grant Agreement, in particular:

- fulfilment of the conditions set out in Clause 5, and
- receipt of satisfactory financial and monitoring reports.

| Financial year | Payment reference | Documents Received By | Payment Value | Information required |
|----------------|-------------------|-----------------------|------------------|--|
| 2020/21 | 1 | 31 October 2020 | Mid-year claim | In accordance with Clause 5.1 of the terms and conditions, a: <ul style="list-style-type: none"> - copy of the Agreement appropriately signed / authorised by the Parties, and - completed Annex A claim request form (mid-year) |
| 2020/21 | - | 5 March 2021 | Outturn Forecast | - Completed forecast as detailed in Schedule 4. |
| 2020/21 | 2 | 31 July 2021 | End-year claim | <ul style="list-style-type: none"> - Completed financial and performance reports as detailed in Schedule 3. - A completed Annex A claim request form (end-year) - A completed outturn statement form (Annex B) |

SCHEDULE 3 – IN-YEAR MONITORING INFORMATION REQUIREMENTS

KEY PERFORMANCE INDICATORS

- 3.1 The Key Performance Indicators (KPIs) to be monitored and reported on are:
- a. the number of public meetings held,
 - b. scrutiny documents and publications produced, including the Panel's annual report,
 - c. engagement with the work of the Panel by members of the public and the Police and Crime Commissioner for Derbyshire Constabulary.

REPORTING

- 3.2 The Recipient shall put in place procedures to monitor and track spending, including committed spend, against agreed budgets and notify the Authority of any variances.
- 3.3 The Recipient shall report against Clause 3.2 highlighting how value for money (e.g. benefits, savings, efficiencies etc.) is being achieved. Reports are to be provided to the Authority alongside the Annex A form.
- 3.4 Reports should also contain a financial statement detailing how the Grant has been spent, an ongoing reconciliation of forecast position against actuals.
- 3.5 Reports and forecasts should be sent to pccpartnersenquiries@homeoffice.gov.uk by the agreed dates in Schedule 2.

Progress Reporting

- 3.6 In support of Annex A (Grant Claim) submissions at mid- and end-year points, the Recipient shall provide:
- a. reports detailing progress against KPIs in achieving outcomes and targets, and highlight how value for money (e.g. benefits, savings, efficiencies etc.) is being achieved.
 - b. financial statements highlighting any significant financial variances, underspend or overspend, and any Supplementary Funding.

Ad Hoc Reporting

- 3.7 Any requests for reports or other information that the Authority may from time to time reasonably request.

- 3.8 Any data incident or critical incident detected or suspected must be reported to the Authority within one (1) Calendar Day. The Partner must provide a follow up report within three (3) Calendar Days to the Authority on how the matter has been resolved or whether the Authority will need to intervene.
- 3.9 The Recipient will notify the Authority as soon as reasonably practical of actual or potential variations to forecast expenditure or any event which materially affects the continued accuracy of such financial information.
- 3.10 Additionally, the Recipient will notify the Authority within one (1) Calendar Day of:
- a. any actual or potential failure to comply with any of its obligations under the Grant Agreement, which includes those caused by any administrative, financial or managerial difficulties; or
 - b. any changes to its constitution, legal form, membership structure (if applicable) or ownership, and of any complaint or investigation by any regulatory body or the police into its activities or those of its staff or Delivery Partners.

ANNUAL REVIEW

- 3.11 In addition to any ongoing reporting, monitoring or evaluation, the Authority will review the Purpose of the Grant annually and will take into account the Recipient's achievements (during the Funding Period) as measured against the CSFs. As part of the annual review the Authority will have regard to the reports produced by the Recipient.
- 3.12 Each annual review will result in the Authority making a recommendation that the:
- a. Purpose and Agreement continue in line with existing plans; or
 - b. Eligible Expenditure payable for the subsequent Funding Period should be revised (up or down); or
 - c. CSFs should be re-defined and agreed; or
 - d. Agreement should be terminated.
- 3.13 The Recipient may make representations to the Authority regarding any recommendations made in accordance with this provision, however, the Authority shall not be obliged to take such representations into account when making its recommendation and any recommendation will be final and at the Authority's absolute discretion.

SCHEDULE 4 – OUTTURN FORECAST

To be returned to the authority by 5th March 2021 to remain eligible for the payment covering the second half of the financial year. Every effort should be made to ensure this forecast is accurate and submitted by the date indicated:

| | Mid-year payment claimed (if applicable) | Spend forecast from mid-year to 31 st March 2021 | Total spend in FY 2020/21 |
|-----------------------------------|--|---|---------------------------|
| Administration costs | | | |
| Members expenses | | | |
| Translation costs (if applicable) | | | |
| Total | | | |

SCHEDULE 5 – DATA SHARING PROTOCOL (DSP)

NOT USED

SCHEDULE 6 – GENERAL DATA PROTECTION REGULATION (GDPR)

NOT USED

SCHEDULE 7 – AID DIVERSION

NOT USED

ANNEX A – GRANT CLAIM FORM

| | |
|--|---|
| Recipient: Derbyshire County Council | Grant Stream: Police and Crime Panels |
|--|---|

| Period From: To: | Resource (£) | Capital (£) |
|---|---------------------|--------------------|
| (1) Total funding received for this financial year | | N/A |
| (2a) Actual expenditure in this period (To be supported by a breakdown of expenditure against the cost breakdown detailed in Schedule 1). | | N/A |
| (2b) Forecast expenditure in the period (To be used for the final claim of the year in instances where Schedule 2 sets out that the final payment request must be received in advance of 31 March (end of the financial year). | | N/A |
| (3) Funding request for this period | | N/A |
| (4) Total funding received and requested (1+3) | | N/A |

MONITORING INFORMATION REQUIREMENTS

Where monitoring information, as set out in Schedule 3, is a requirement for the period the payment is requested for, please confirm what has been attached to this payment request form.

| |
|--|
| |
|--|

FINANCE OFFICER CERTIFICATION

I certify to the best of my knowledge and belief that:

- a) The information provided is correct; and no Duplicate Funding has been received in respect of this Eligible Expenditure Statement,
- b) The expenditure has been incurred only for the purposes set out in the Grant Agreement for the specified Grant stream.

| | | | |
|------------------------|--|--------------|--|
| Signature: | | | |
| Name (printed): | | Date: | |
| Position: | | | |

Home Office sign off:

| | | | |
|------------------------|--|--------------|--|
| Signature: | | | |
| Name (printed): | | Date: | |
| Position: | | | |

I certify to the best of my knowledge and belief that:

- a) The information provided is correct; and no other specific Exchequer grants, other grants or contributions have been or will be payable for the expenditure in respect of the Grant Amount being claimed,
- b) The expenditure has been incurred only for the purposes set out in the Grant Agreement for the above Grant stream.

| | | | |
|------------------------|--|--------------|--|
| Signature: | | | |
| Name (printed): | | Date: | |
| Position: | | | |

Home Office sign off:

| | | | |
|------------------------|--|--------------|--|
| Signature: | | | |
| Name (printed): | | Date: | |
| Position: | | | |

ANNEX C – AUTHORISED REPRESENTATIVES

The following are the current list of the Authority’s staff designated as authorised representatives:

| For the Authority | Position | Address | Telephone | E-mail |
|-------------------|------------------|-------------------------------------|--------------|--|
| Lauren Diffey | Grant Sponsor | 2 Marsham Street London SW1P 4DF | 0300 0723544 | pccpartnersenquiries@homeoffice.gov.uk |
| Samuel Taylor | Business Contact | 2 Marsham Street London SW1P 4DF | 0300 0731057 | pccpartnersenquiries@homeoffice.gov.uk |
| | | | | |
| | | | | |

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The following are the current list of the Recipient’s Staff designated as authorised representatives:

| For the Recipient | Position | Address | Telephone | E-mail |
|-------------------|-----------------|---------------------------------|--------------|-------------------------------|
| Claire Bell | Project Manager | County Hall, Matlock DE4 3EG | 01629 538756 | claire.bell@derbyshire.gov.uk |
| Roy Ackrill | | County Hall, Matlock DE4 3EG | 01629 538327 | roy.ackrill@derbyshire.gov.uk |
| | | | | |

ANNEX D – DELIVERY PROPOSAL

NOT USED (DIRECT AWARD)

ANNEX E – LIMITATIONS ON FUNDING USE AND INELIGIBLE EXPENDITURE

- 1.1 The Recipient shall not use the Grant to engage as a Delivery Partner, nor otherwise engage with Third Parties known to demonstrate vocal or active opposition to fundamental British values⁵, including democracy, the rule of law, individual liberty and mutual respect and tolerance of different faiths and beliefs. This includes those who make extremist calls for the death of members of our armed forces, whether in this country or overseas.
- 1.2 The Grant may not be used to
- pay for lobbying (via an external firm or in-house staff) in order to undertake activity that intends or attempts to influence Parliament or Crown Bodies or political parties, for example, attempting to influence legislative or regulatory action; or, the awarding or renewal of contracts and grants; or attempting to influence legislative or regulatory action, or
 - enable one part of government to challenge another on topics unrelated to the Purpose, or
 - petition the Authority or other Third Parties for additional funding, or
 - pay expenses, such as entertaining, specifically aimed at exerting undue influence to change government policy.
- 1.3 No aspect of the activity funded by the Authority may be party-political in intention, use, or presentation.
- 1.4 The Grant may not be used to support or promote activities of an exclusively religious nature. This will not include activity designed to improve inter-faith relationships or working.
- 1.5 The Recipient is not permitted to charge, apply fees to or require payment for any activity provided in connection with this Agreement or otherwise use the Grant to undertake or engage in activities that result in commercial gain or profit.
- 1.6 The Recipient shall not make a profit in its use of the Grant. For the avoidance of doubt, no profit, dividends, bonuses and/or any similar or equivalent benefit will be paid to Staff.
- 1.7 To comply with the Cabinet Office' spend control⁶ requirements, the Recipient:
- must seek permission from the Authority prior to any proposed expenditure on advertising, communications, consultancy or marketing either in connection with, or using the Grant; and

⁵ An opposition to fundamental British Values is to go against universal human rights, gender equality, equality of opportunity, democracy, the rule of law, respect for the rights of different religions and beliefs, freedom of speech.

⁶ <https://www.gov.uk/government/collections/cabinet-office-controls>

- should provide evidence that any marketing, advertising, communications and consultancy expenditure carried out in connection with, or using the Grant will deliver measurable outcomes that meet the government objective to secure value for money.

1.8 Other examples of expenditure, which are prohibited, include the following:

- interest payments or service charge payments for finance leases;
- gifts;
- the writing-off of debts or other liabilities owed to it;
- statutory fines, criminal fines or penalties civil penalties, damages or any associated legal costs;
- bad debts to related parties;
- to secure mortgages or loans or other debt-funding;
- payments for unfair dismissal or other compensation;
- depreciation, amortisation or impairment of assets owned by the Recipient;
- the acquisition or improvement of Assets by the Recipient.

ANNEX F – CHANGE CONTROL RECORD

| Grant Agreement Version | Variation Reference | Agreed | Dated |
|-------------------------|---------------------|-------------|-------------|
| v1.0 | Not applicable | 29 May 2020 | 29 May 2020 |
| | | | |
| | | | |
| | | | |
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GRANT VARIATION NOTICE (TEMPLATE)

| | |
|---------------------|---|
| Agreement Title | FOR THE PROVISION OF POLICE AND CRIME PANELS |
| Between | The Secretary of State for the Home Department, (hereinafter called "the Authority") & Derbyshire County Council ("the Recipient") |
| Variation Number | |
| Variation | Means the amendments to the Grant Agreement contained in this form |
| Date Effective From | |

In accordance with the provisions of Article 18, the Parties hereby agree that the Grant Agreement be varied as follows:

| No. | Heading | Location | Variation |
|-----|---------|----------|-----------|
| 1 | | | |
| 2 | | | |
| 3 | | | |

- Words and expressions in this Grant Variation Notice shall have the meanings given to them in the Grant Agreement.
- The Grant Agreement, including any previous Grant Variation Notices, shall remain effective and unaltered except as amended by this Grant Variation Notice.

Signed on behalf of the Authority

Signed on behalf of the Recipient

Signature

Signature

Name

Name

Title

Title

Date

Date

ANNEX G – GRANT FUNDING LETTER



Police Strategy and Reform Unit
6th Floor Fry Building
pccpartnersenquiries@homeoffice.gsi.gov.uk

Claire Bell
County Hall
Matlock
DE4 3EG
claire.bell@derbyshire.gov.uk

29 May 2020

Dear Claire

DERBYSHIRE POLICE AND CRIME PANEL GRANT – FINANCIAL YEAR 2020/21

I am writing to confirm the offer of a grant of up to £67,100 to Derbyshire County Council as host authority in respect of the Derbyshire Police and Crime Panel (PCP).

Payment of this grant, to the agreed schedule in the attached Grant Agreement, is subject to your acceptance of this offer and the standard terms and conditions of the Grant Agreement.

To allow public scrutiny of PCP spending, PCP's must publish, as a minimum on their website, details of all their expenditure (or, where that is not possible, on the Host Authority's website). This will include details of all panel administration costs and individual panel member claims for expenses and allowances.

If you wish to accept this offer of grant funding, please sign and return to the above email address, one copy of the Grant Agreement, ensuring that you complete the Acceptance of Grant pages. Please be aware that no payments will be released until receipt of the signed documentation.

Yours sincerely,

Lauren Diffey
Head of PCC Policy, Scrutiny & Accountability

ANNEX H – CLARIFICATION QUESTION RESPONSE LOG



FOR PUBLICATION

DERBYSHIRE COUNTY COUNCIL

DERBYSHIRE POLICE AND CRIME PANEL

9 SEPTEMBER 2021

Report of the Director of Legal and Democratic Services

COMPLAINTS

1. Purpose

For the Panel to note the complaints received in the period April 2020 to March 2021.

2. Information and Analysis

2.1 The Police and Crime Panel considers complaints about the conduct of the Police and Crime Commissioner for Derbyshire and the Deputy Police and Crime Commissioner for Derbyshire. The Panel has delegated authority for the initial handling of complaints, together with other aspects of the process, to Derbyshire County Council's Monitoring Officer and Director of Legal Services, under section 101(2) of the Local Government Act 1972.

2.2 When a complaint about the conduct of the Police and Crime Commissioner or Deputy Police and Crime Commissioner is recorded the Director of Legal Services in consultation with the Chair and/or Vice Chair of the Panel, will make arrangements for the informal resolution of the complaint.

The table below details the action taken:

| | Date received | Recorded? | Action taken |
|---|---------------|-----------|---|
| 1 | 11.12.20 | Yes | A sub-committee of the panel was formed to consider the complaint. No concerns identified about the Police and Crime Commissioner's conduct requiring further action. |
| 2 | 29.1.21 | No | Issues raised do not fall within the definition of a complaint outlined in the complaints procedure as they do not relate to the conduct |

| | | | |
|---|--------|----|---|
| | | | of the Police and Crime Commissioner or the Deputy Police and Crime Commissioner |
| 3 | 2.2.21 | No | Issues raised do not fall within the definition of a complaint outlined in the complaints procedure as they do not relate to the conduct of the Police and Crime Commissioner or the Deputy Police and Crime Commissioner |

3. Alternative Options Considered

3.1 Not applicable.

4. Implications

4.1 Appendix 1 sets out the relevant implications considered in the preparation of this report.

5. Consultation

5.1 Not applicable.

6. 6. Background Papers

6.1 Derbyshire Police and Crime Panel Complaints Procedure

7. Appendices

7.1 Appendix 1 – Implications.

8. Recommendations

That the Panel notes the complaints received in the period April 2020 to March 2021 and the action taken.

9 Reason for Recommendation(s)

The Police and Crime Panel is responsible for dealing with complaints made about the Commissioner's conduct.

Report Author: Lucie Collard

Contact details: Police.andcrimepanel@derbyshire.gov.uk

Appendix 1

Implications

Financial

1.1 None directly arising out of this report.

Legal

2.1 The Derbyshire Police and Crime Panel Complaints Procedure has been adopted to ensure compliance with the Elected Local Policing Bodies (Complaints and Misconduct) Regulations 2012 which are issued under the Police Reform and Social Responsibility Act 2011.

2.2 The Panel has delegated authority for the initial handling of complaints, together with other aspects of the process, to Derbyshire County Council's Monitoring Officer and Director of Legal Services, under section 101(2) of the Local Government Act 1972.

Human Resources

3.1 None directly arising out of this report.

Information Technology

4.1 None directly arising out of this report.

Equalities Impact

5.1 None directly arising out of this report.

Corporate objectives and priorities for change

6.1 None directly arising out of this report.

Other (for example, Health and Safety, Environmental Sustainability, Property and Asset Management, Risk Management and Safeguarding)

7.1 None directly arising out of this report.

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Derbyshire Police and Crime Panel achievements June 2020- September 2021

It has been a very busy year for the Derbyshire Police and Crime Panel, challenged by Covid and new ways of working. Despite the challenges, the panel has achieved a number of notable successes. As Chair and Vice Chair, Ged and I wanted to share these with you as a reminder of the excellent work we have all achieved.

1. Business meetings

The development of the PCP Business Meetings has led to a greater engagement by panel members. It has achieved almost a 100% attendance and has been used as an opportunity to discuss common areas of concern by the panel members, set the agenda for PCP meetings and developed the knowledge of the panel by the attendance at the business meetings of guest speakers who were experienced practitioners in various aspects of Policing. The value of the Business Meetings has been acknowledged by many panel members who have asked that they continue in the current format, via teams, for future years. This has led to better focused panel meetings and greater panel member engagement and more effective precept scrutiny.

2. Re-engagement with regional meetings

By re-engaging with the regional meetings, we have been able to discuss topics of national and local interest with other panels, share best practice and learn of best practice from other panels. It has also been invaluable with Lucie starting as Improvement and Scrutiny Officer for the panel that she has access to other panels support officers.

3. Secured Improvement and Scrutiny Officer appointment

Lucie Collard was appointed as the Improvement and Scrutiny Officer for the Panel earlier this year. Since this time Lucie has provided invaluable support to the Chair., Vice Chair and the panel and is starting to develop the scrutiny task and finish groups we need to contribute to the work of the panel.

4. National Executive re-engagement, Meeting with Chair

Earlier this year we re-engaged with the National Executive and Ged and I had a very useful meeting with the Chair of the National Executive, John Gili-Ross, and his Scrutiny Officer Emma Tombs, they were able to update us on issues of interest, the national picture and identify how Derbyshire PCP could play a greater role.

5. Ged Potter appointed as member of National Executive

As a result of the meeting with the National Executive Chair, we became aware of an opening on the National Executive Group. Ged put his name forward and was successfully appointed. This brings added value to the panel in Derbyshire as Ged regularly feeds information back from the National Executive to the business meetings.

6. National conference

We were all invited to attend the National Conference in September 2020 which was held virtually. I know the take up from the Derbyshire Panel was good, the flexibility

offered by the ability to dial into specific sessions rather than attend a daylong conference had its benefits for us all.

7. Induction and training pack

Ged has developed an induction and training pack for new panel members. This is also useful as a refresher for existing panel members. This has been put forward as best practice at a regional and national level and received a lot of interest and praise.

8. Training sessions for panel members

Training was provided for Panel members by Frontline Consulting. This will be explored for new panel members.

9. Task Group set up

Lucie has started to set up the task and finish groups, we hope these will be able to start meeting shortly, membership will need to be reviewed to reflect the new panel members.

10. Promoting the role of the Panel

While there is still a lot of work to do here to engage members of the public, so they understand the role of the Derbyshire Police and Crime panel, we have started to engage with key partners to promote the role of the panel and greater collaboration. To date we have met with the Police Federation and Crime Stoppers.

11. Website

Lucie has started to review the pages relating to the panel on the Derbyshire website, this is work in progress.

12. Member allowances

We had a lengthy discussion at a PCP meeting this year on member allowance prompted by a discussion at a business meeting and the paper drafted by Liz Wild for the panel. There is great debate both regionally and nationally about allowances paid to panels in both England and Wales.

13. Complaint's procedure revised and complaint reviews undertaken

The complaints procedure has been revised and several complaints have been received this year. Ged chaired a small group to review a complaint received.

14. Response to PCP Review

Derbyshire Police and Crime Panel was invited by Police Foundation (Home Office) to take part in a comprehensive Strategic Review of Policing in England and Wales for the 21st Century. As a result, a compiled report was circulated amongst the panel members and further comments incorporated before the report was submitted. Further seminars on the findings are to take place.

15. Chief Constable appointment

Nearly every Panel member engaged in the Chief Constable appointment with insightful and well thought out questions to the Chief Constable. Her appointment received a unanimous vote by the panel.

Many thanks for your ongoing input and support, it is much appreciated.

Vicky Newbury - Chair

Cllr Ged Potter – Vice Chair

POLICE AND CRIME PANEL**9th September 2021****REPORT OF THE POLICE AND CRIME COMMISSIONER FOR DERBYSHIRE****Succession Planning****1. PURPOSE OF THE REPORT**

- 1.1 To provide the Panel with the proposed plans in place for succession planning should the Police & Crime Commissioner be unable to continue undertaking the role.

2. BACKGROUND

- 2.1 The Policing Minister, Kit Malthouse, wrote to all Police & Crime Commissioners (PCCs) in July 2021 requesting that all PCCs work to develop succession planning arrangements.
- 2.2 Section 62 of the Police Reform and Social Responsibility Act 2011 places the responsibility for appointing an Acting PCC upon the Police and Crime Panel.
- 2.3 Section 62(2) states that the appointment can only be of a member of the PCC's staff at the time of appointment.
- 2.4 For clarity, where a Deputy PCC has been appointed they are defined within the legislation as being a member of staff, and therefore able to take up the role of Acting PCC, if required.
- 2.5 It is the Government's intention to mandate the appointment of a Deputy PCC, which may form part of the Part 2 of the PCC review they are currently undertaking.
- 2.6 At the time of submitting this report there is currently no Deputy PCC appointed for Derbyshire.
- 2.7 Interim arrangements should, under normal circumstances, last for no longer than 6 months.
- 2.8 All functions of a PCC are exercisable by an Acting PCC with the exception of issuing and varying a Police & Crime Plan.

3. PROPOSED APPROACH FOR DERBYSHIRE

- 3.1 Until such time as a Deputy PCC has been appointed (and confirmed by the Police and Crime Panel), the role of Acting Police and Crime Commissioner will be undertaken by the Chief Executive of the Office of the Police & Crime Commissioner.

Agenda Item 11

- 3.2 Should the Chief Executive be unable to undertake the role, or the post be vacant, the duties will be undertaken by the Chief Finance Officer.
- 3.3 Should a Deputy PCC be appointed, the role of Acting PCC will then be undertaken by that postholder.

4.0 RECOMMENDATIONS

- i That the Panel agrees the proposed arrangements for succession planning

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| Contact details in the event of enquiries | Name: Angelique Foster External telephone number: 0300 122 6000 Email address: pccoffice@derbyshire.pnn.police.uk |
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